

[Redacted]

From: [Redacted]
Sent: Tuesday, 1 June 2021 10:34 AM
To: CARUSO Daniel; Smith Philip
Cc: [Redacted]
Subject: Draft response: ANAO S19 report - commuter car parks [SEC=OFFICIAL]
Attachments: UCF_Section 19 response.docx

OFFICIAL

Hi

See proposed first draft of response to the Section 19 report on commuter car parks.

47C [Redacted]

[Redacted]

GPO Box 594 Canberra, ACT 2601

Department of Infrastructure, Transport, Regional Development and Communications
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*I would like to acknowledge the traditional custodians of this land on which we meet, work and live.
I recognise and respect their continuing connection to the land, waters and communities.
I pay my respects to Elders past and present and to all Aboriginal and Torres Strait Islanders.*

OFFICIAL



s 47C



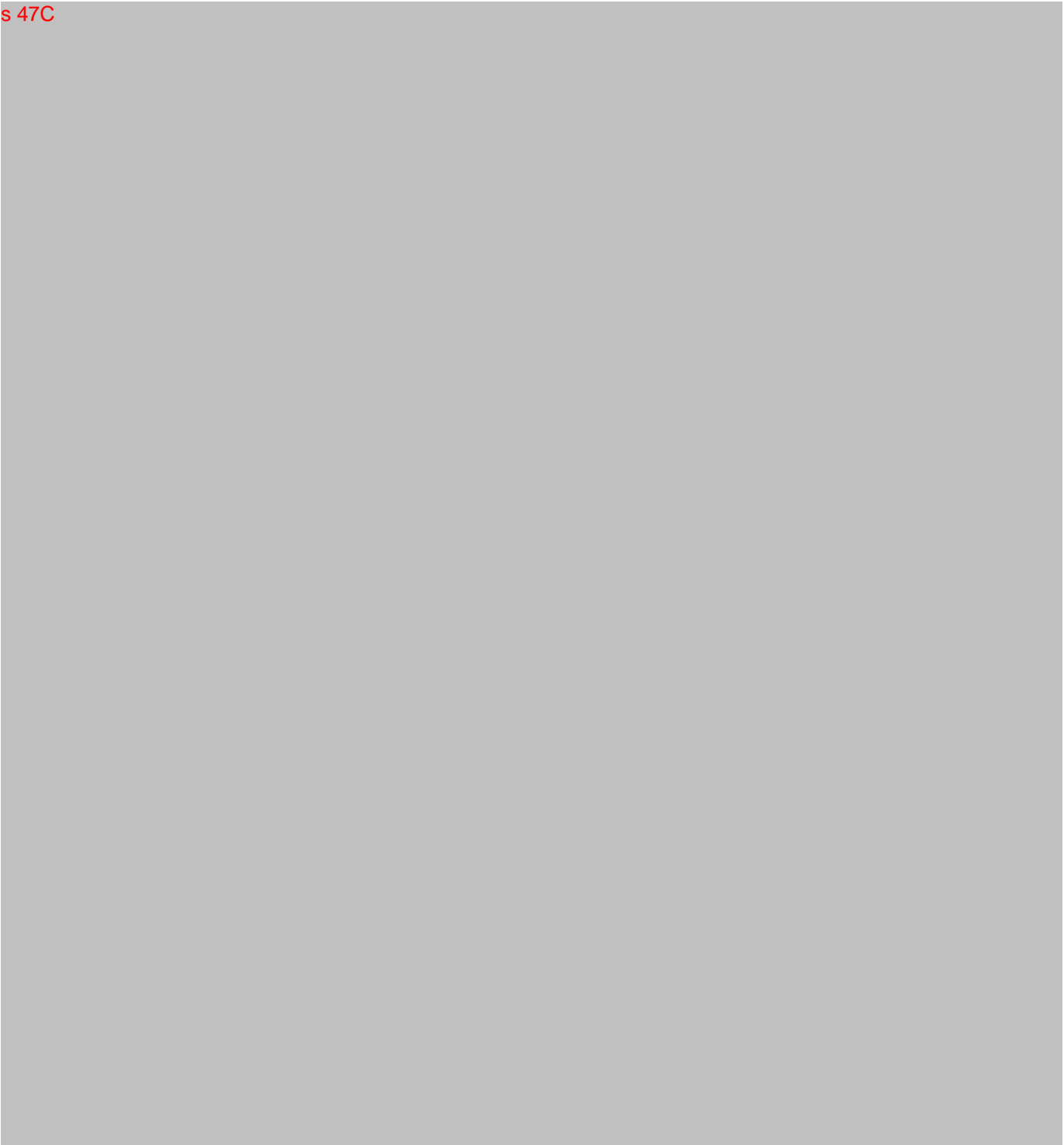
s 47C



s 47C



s 47C



[REDACTED]

From: Smith Philip
Sent: Monday, 7 June 2021 9:47 AM
To: [REDACTED] CARUSO Daniel
Subject: UCF_Section 19 response.docx [SEC=UNOFFICIAL]
Attachments: UCF_Section 19 response.docx

Importance: High

UNOFFICIAL

Howdy – 47C [REDACTED]

I'll call a quick meeting for some other questions I have.

Cheers
Phil

UNOFFICIAL

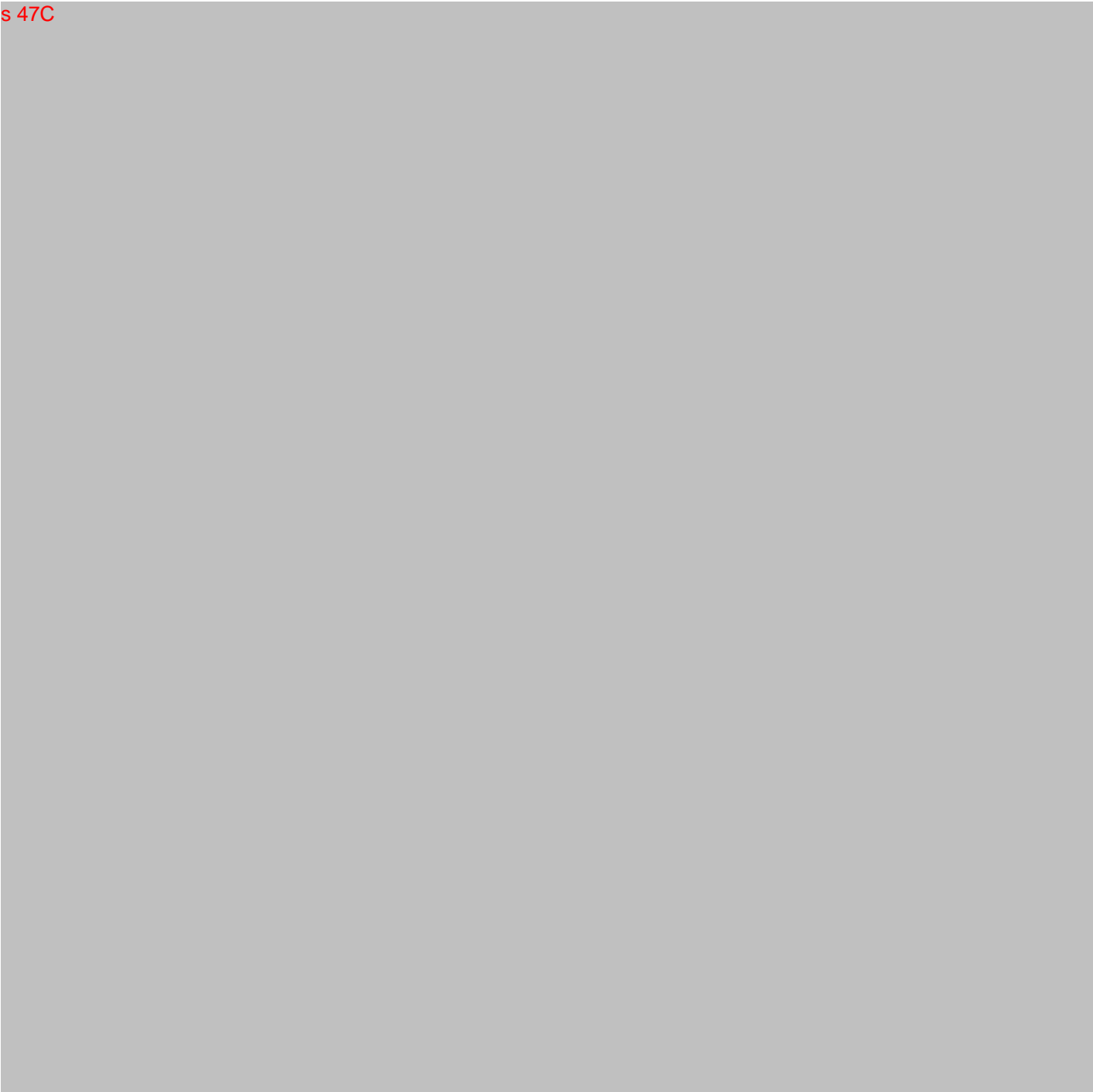


DRAFT

s 47C



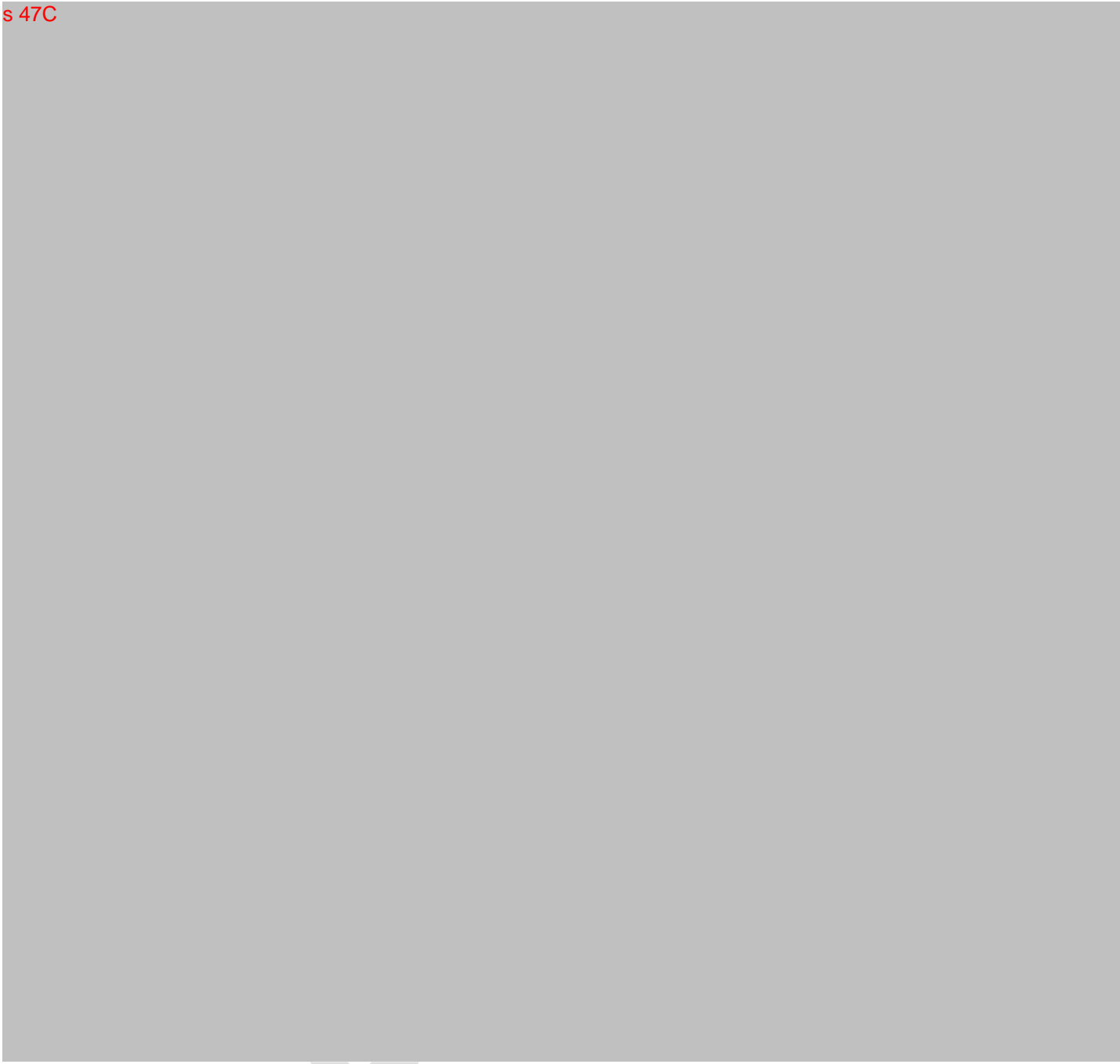
s 47C



s 47C



s 47C



From: Smith Philip
Sent: Monday, 7 June 2021 11:46 AM
To: [REDACTED] CARUSO Daniel
Subject: UCF_Section 19 response_7 june.docx [SEC=UNOFFICIAL]
Attachments: UCF_Section 19 response_7 june.docx

UNOFFICIAL

[REDACTED] – use this one – up to DH for review – see if we can chat through with him tomorrow once he’s read it.

Cheers
Phil

UNOFFICIAL

s 47C

s 47C

s 47C

s 47C

s 47C

s 47C

[REDACTED]

From: Smith Philip
Sent: Tuesday, 8 June 2021 8:53 AM
To: [REDACTED]; CARUSO Daniel
Subject: UCF_Section 19 response_7 june_to DH.docx [SEC=UNOFFICIAL]
Attachments: UCF_Section 19 response_7 june_to DH.docx

UNOFFICIAL

[REDACTED] 47C

Happy to talk through – before we edit.

Cheers
Phil

UNOFFICIAL

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[REDACTED]

From: CARUSO Daniel
Sent: Tuesday, 8 June 2021 4:19 PM
To: [REDACTED] Smith Philip
Subject: FW: For Secretary: Revised response on Section 19 report - administration of commuter car parks [SEC=OFFICIAL]
Attachments: UCF_Section 19 response_8 june.docx

OFFICIAL

47C [REDACTED]

OFFICIAL

From: [REDACTED]
Sent: Tuesday, 8 June 2021 3:57 PM
To: Smith Philip ; CARUSO Daniel
Subject: For Secretary: Revised response on Section 19 report - administration of commuter car parks [SEC=OFFICIAL]

OFFICIAL

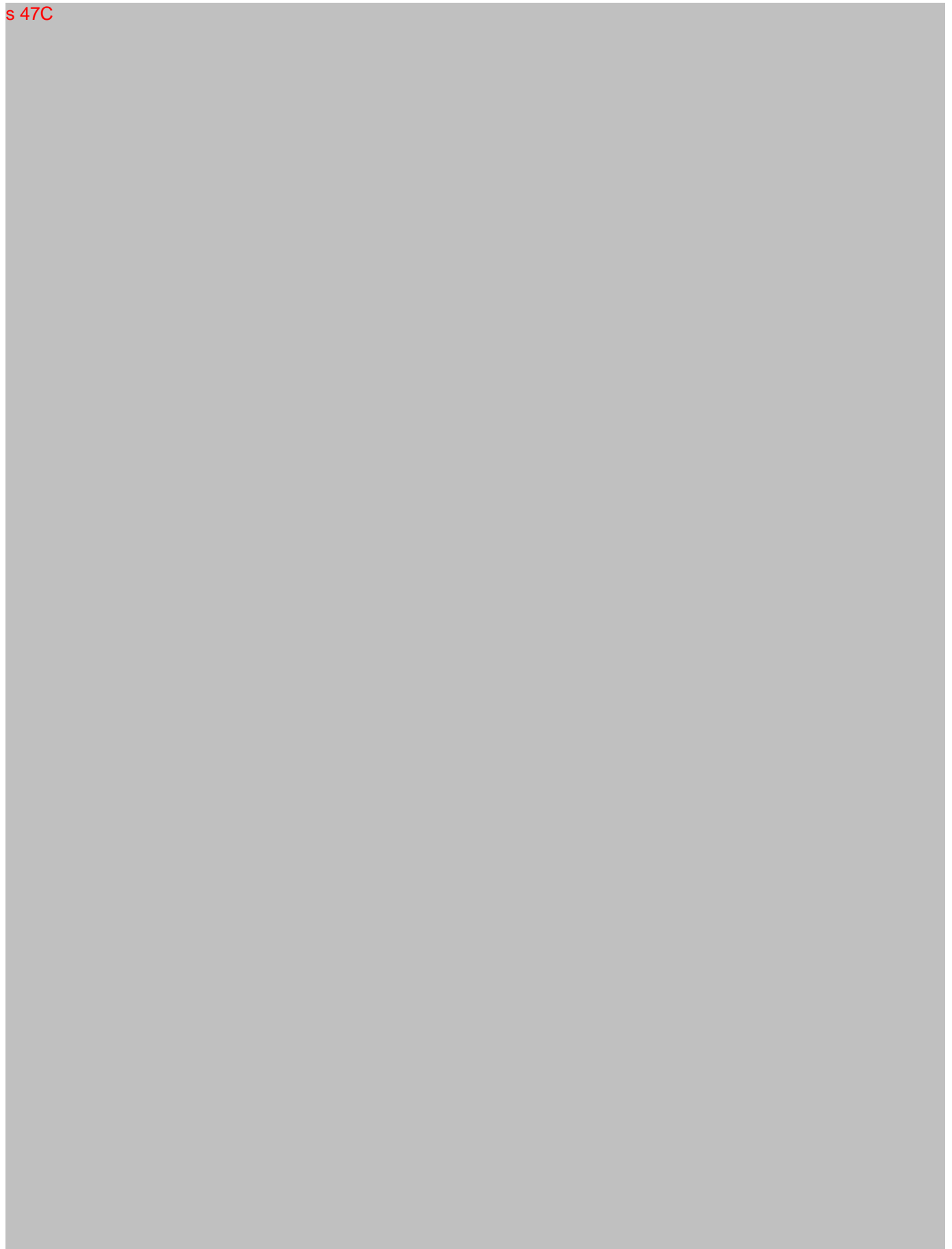
Phil, Dan

47C [REDACTED]

OFFICIAL



s 47C

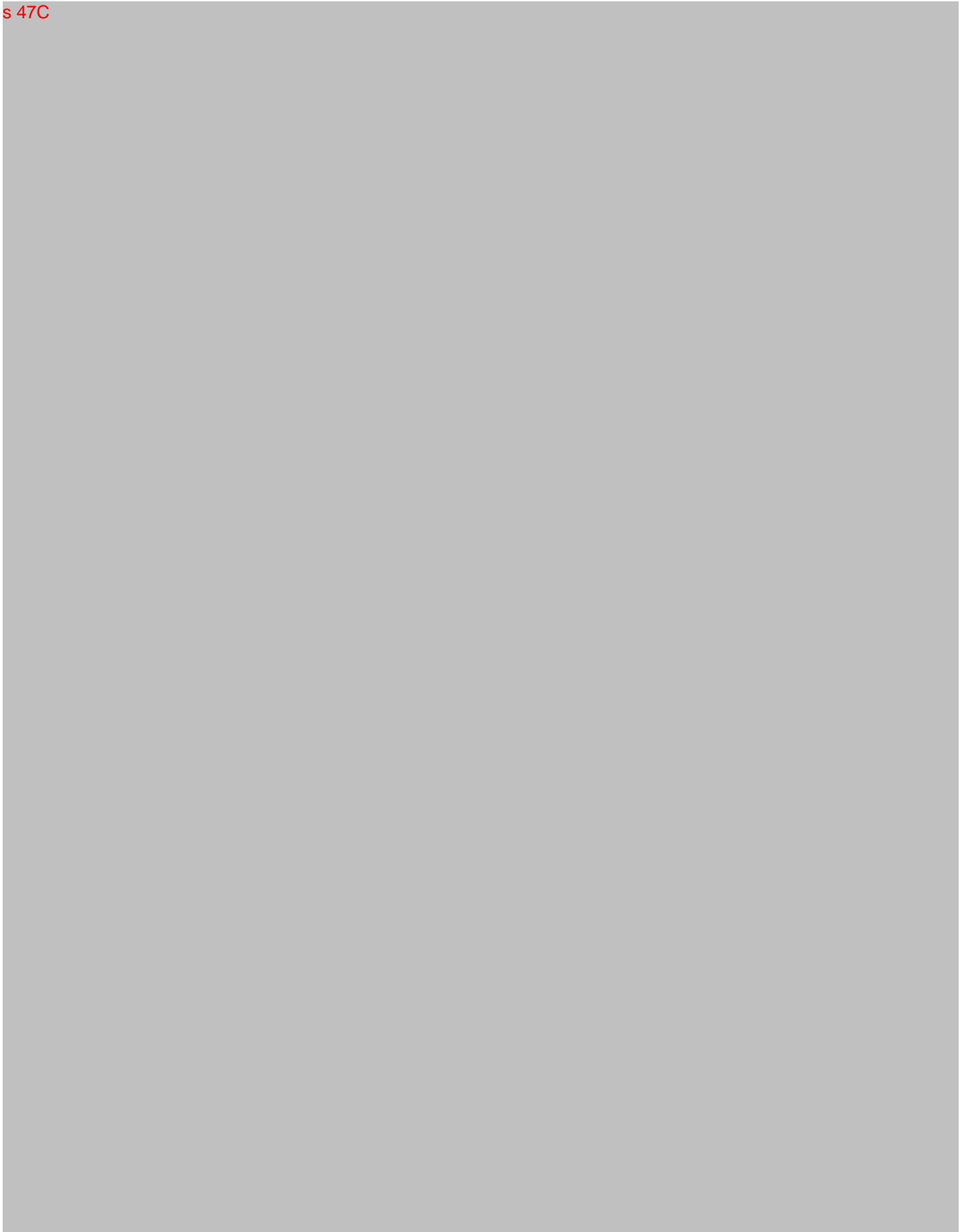


s 47C

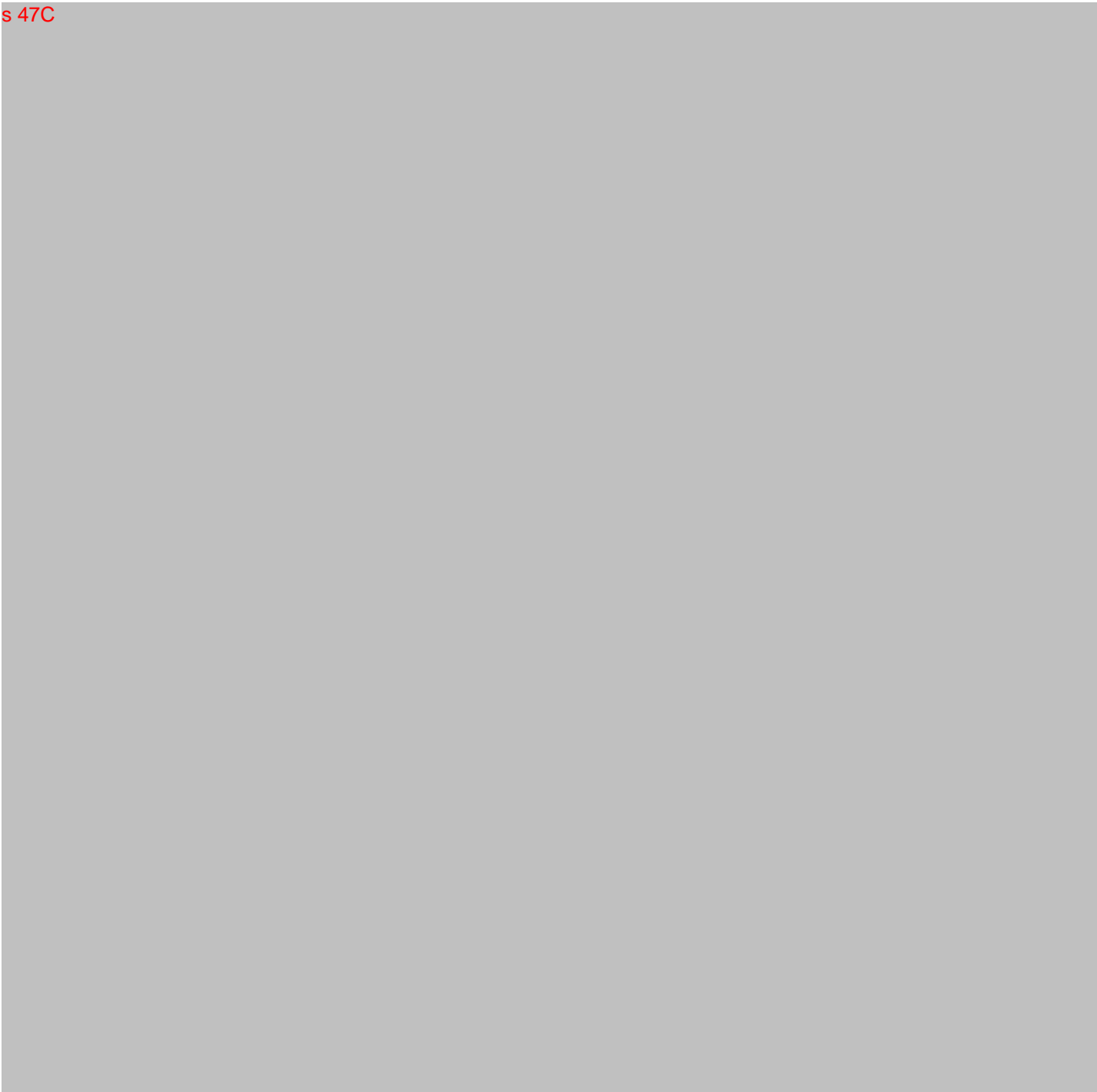


DRAFT

s 47C



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From: Smith Philip
Sent: Tuesday, 8 June 2021 4:41 PM
To: [REDACTED] CARUSO Daniel
Subject: UCF_Section 19 response_8 june (005).docx [SEC=UNOFFICIAL]
Attachments: UCF_Section 19 response_8 june (005).docx

UNOFFICIAL

[REDACTED] ast bits thanks. 47C [REDACTED]

UNOFFICIAL

s 47C



s 47C

s 47C



s 47C



s 47C

s 47C

[REDACTED]

From: Smith Philip
Sent: Tuesday, 8 June 2021 5:56 PM
To: HALLINAN David
Cc: [REDACTED] CARUSO Daniel
Subject: UCF_Section 19 response_8 june_to secretary.docx [SEC=UNOFFICIAL]
Attachments: UCF_Section 19 response_8 june_to secretary.docx

UNOFFICIAL

David – S19 response amended as discussed.

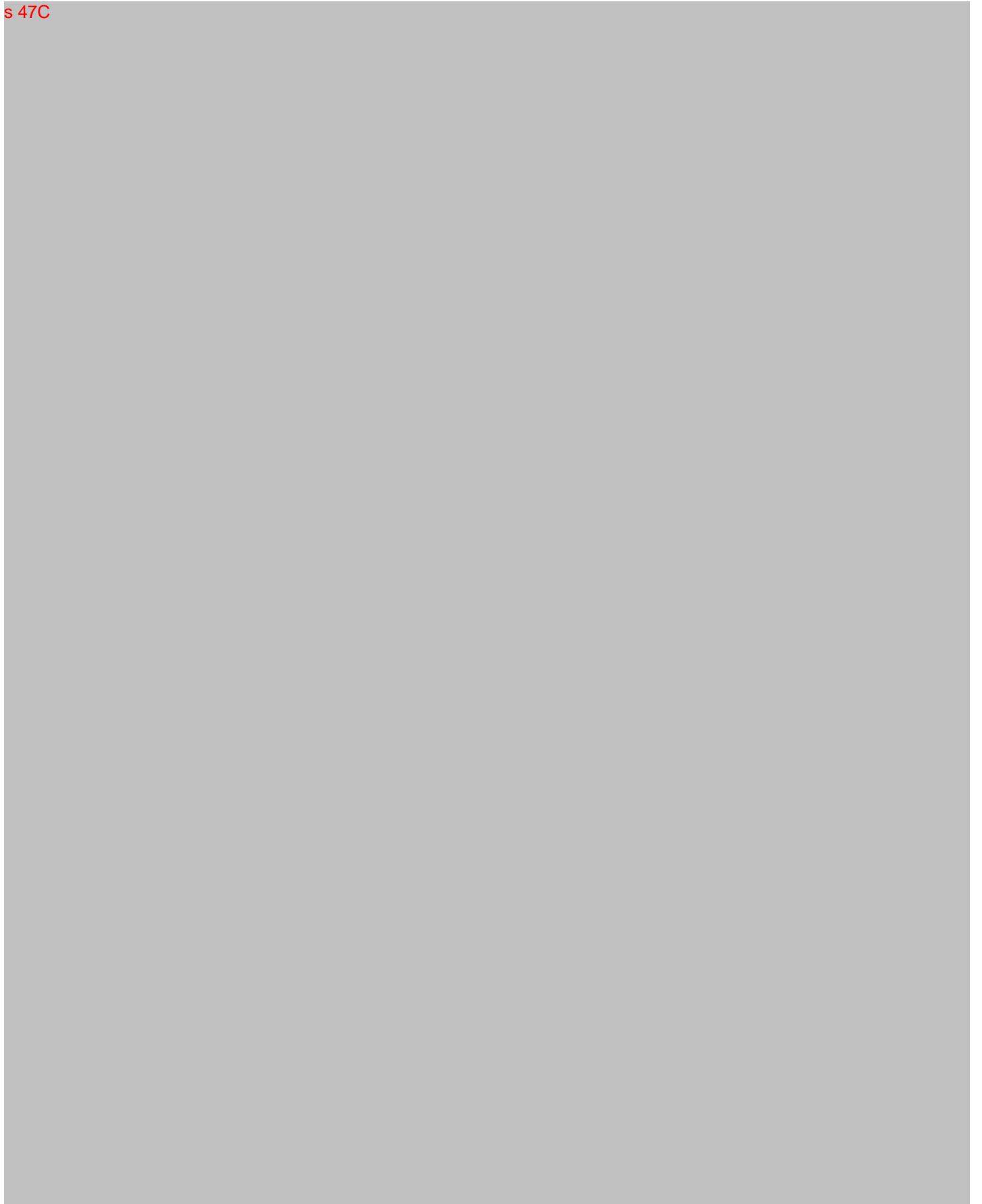
Once you are ok happy to send on to Simon for clearance.

Regards
Phil

UNOFFICIAL



s 47C

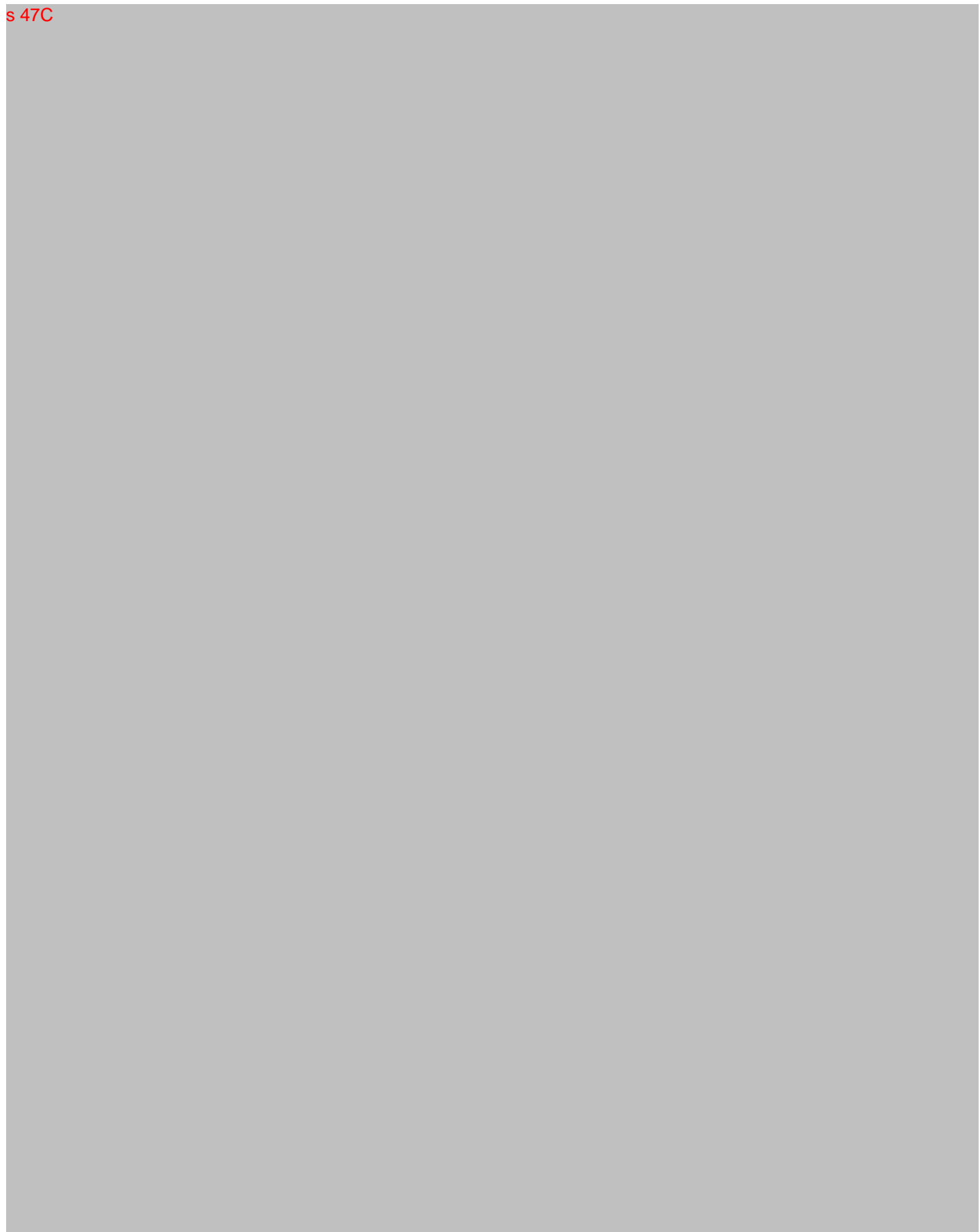


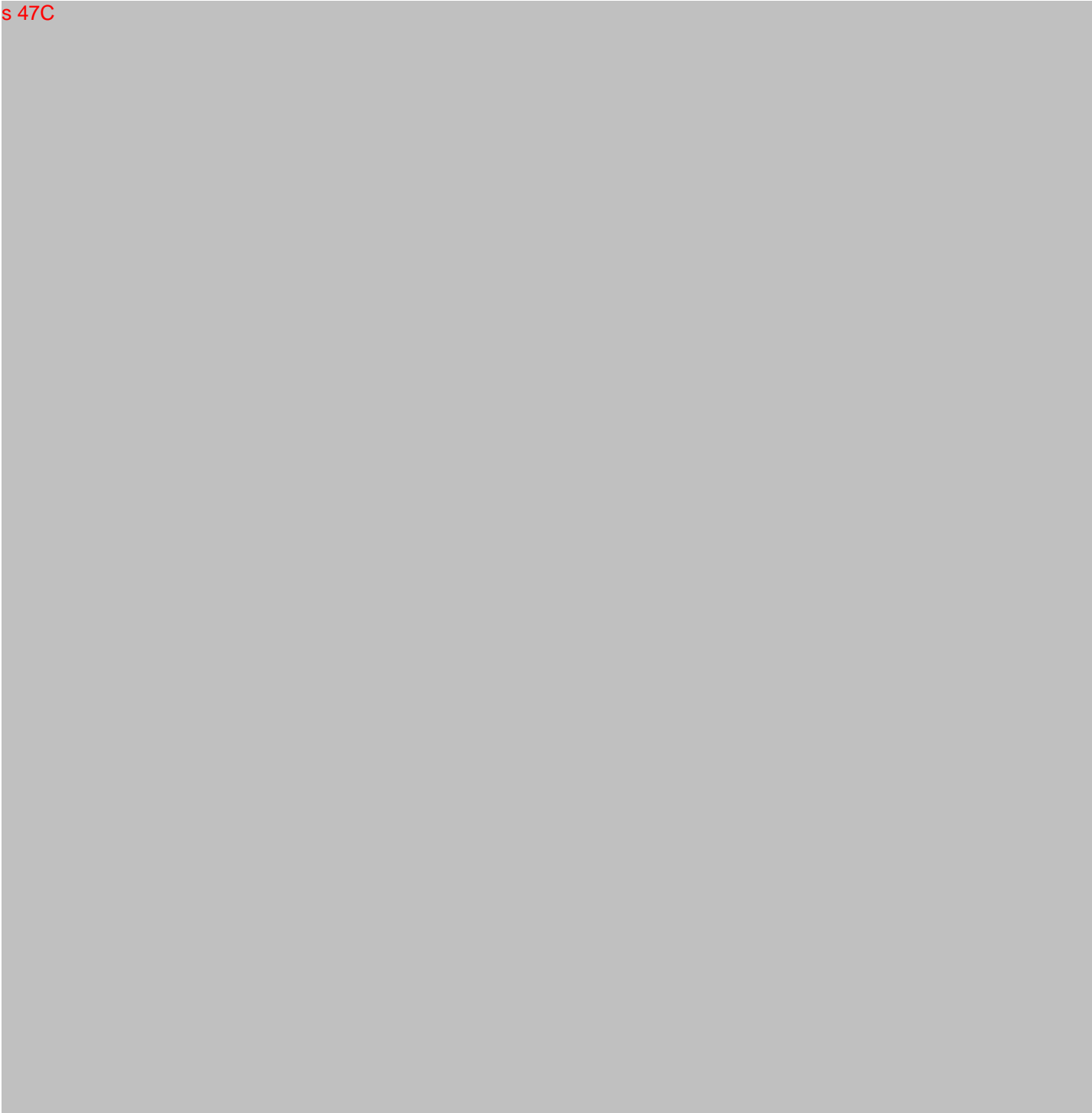
s 47C



DRAFT

s 47C





From: Smith Philip
Sent: Tuesday, 8 June 2021 5:57 PM
To: ARUSO Daniel
Subject: RE: UCF_Section 19 response_8 june (005).docx [SEC=OFFICIAL]

OFFICIAL

Thanks – 47C

Phil Smith

First Assistant Secretary • Infrastructure Investment Division

Philip.Smith2@infrastructure.gov.au

P +61 2 6274 7209 • 47F
GPO Box 594 Canberra, ACT 2601

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I recognise and respect their continuing connection to the land, waters and communities.
I pay my respects to Elders past and present and to all Aboriginal and Torres Strait Islanders.*

OFFICIAL

From: [Redacted]
Sent: Tuesday, 8 June 2021 5:52 PM
To: Smith Philip ; CARUSO Daniel
Subject: UCF_Section 19 response_8 june (005).docx [SEC=OFFICIAL]

OFFICIAL

I've accepted all changes. 47C

Otherwise I think ready to go

OFFICIAL

Archived: Thursday, 19 August 2021 1:17:33 PM
From: [Redacted]
Sent: Wed, 9 Jun 2021 14:10:27
To: [Redacted]; Smith Philip; CARUSO Daniel
Subject: FW: For clearance: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]
Sensitivity: Normal
Attachments:
UCF_Section 19 response_8 june_to secretary.docx;

UNOFFICIAL

FYI



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UNOFFICIAL

From: [Redacted]
Sent: Wednesday, 9 June 2021 12:49 PM
To: SEDGWICK Ashley <Ashley.Sedgwick@infrastructure.gov.au>
Cc: HALLINAN David <David.Hallinan@infrastructure.gov.au>; [Redacted]@infrastructure.gov.au
[Redacted]@infrastructure.gov.au
Subject: For clearance: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

UNOFFICIAL

Hi Ashley

Please see attached the UCF audit s19 response for the Secretary's review and clearance, cleared by Dave.

Kind regards,



P 02 6274 7401
GPO Box 594 Canberra, ACT 2601

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UNOFFICIAL

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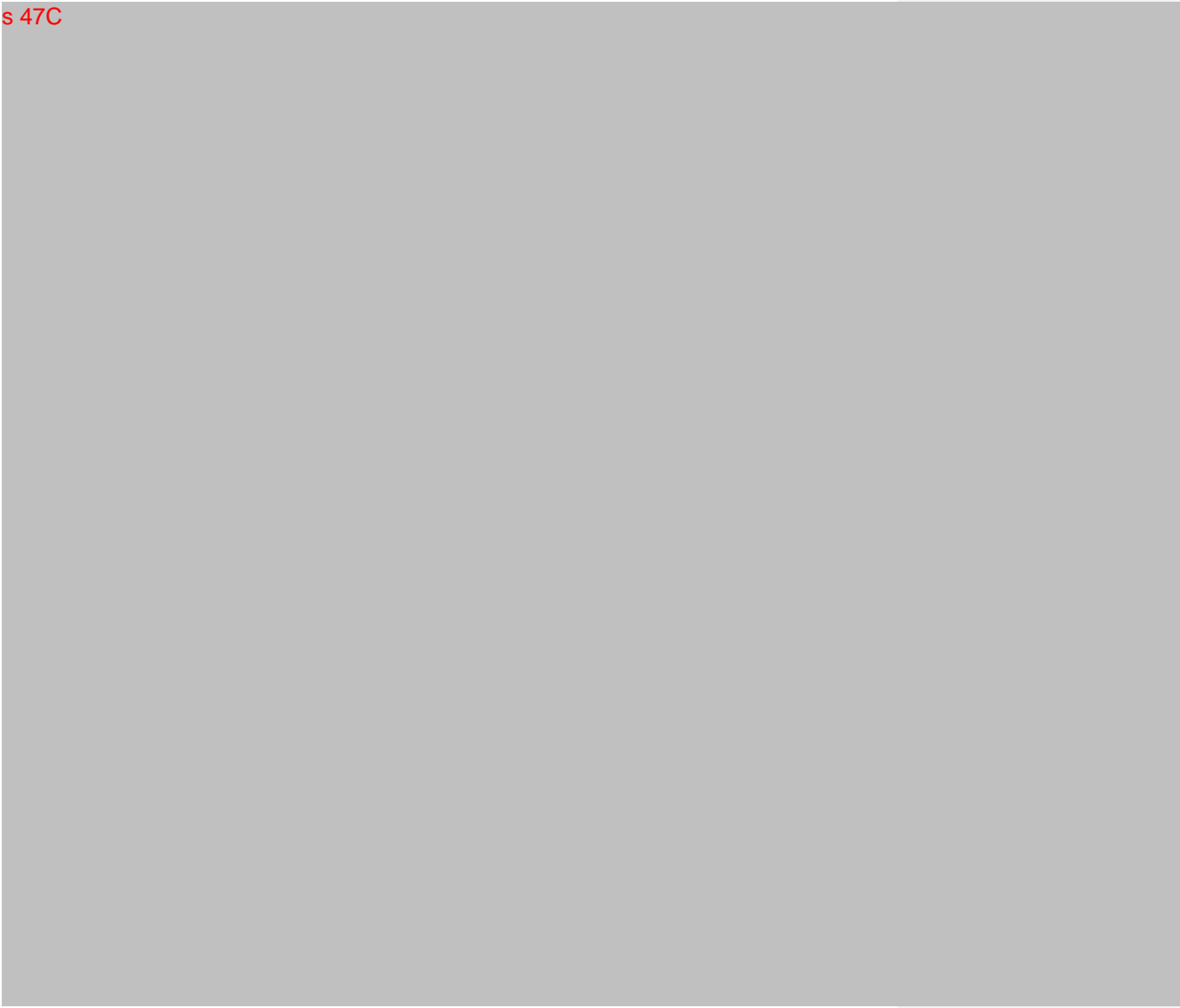
s 47C

DRAFT

s 47C



s 47C





From: Smith Philip
Sent: Wednesday, 9 June 2021 9:17 PM
To: [REDACTED]; CARUSO Daniel
Subject: S19 [SEC=UNOFFICIAL]

47C

UNOFFICIAL
UNOFFICIAL

From: [Redacted]
Sent: Wednesday, 9 June 2021 10:07 PM
To: CAELLI Liz
Cc: Smith Philip; CARUSO Daniel
Subject: For comment: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]
Attachments: UCF_Section 19 response_8 june_to secretary.docx

UNOFFICIAL

Hi Liz

Apologies we haven't shared with you earlier. The attached content has gone to the Secretary for review in relation to our response on S19 report on the UCF audit.

We'd appreciate your review, particularly in relation to style and format.

47C [Redacted]

Happy to discuss any aspect



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UNOFFICIAL

From: [Redacted] <[Redacted]@infrastructure.gov.au>
Sent: Wednesday, 9 June 2021 2:10 PM
To: S [Redacted] <[Redacted]@infrastructure.gov.au>; Smith Philip <Philip.Smith2@infrastructure.gov.au>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: FW: For clearance: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

UNOFFICIAL

FYI



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UNOFFICIAL

From: [Redacted]
Sent: Wednesday, 9 June 2021 12:49 PM
To: SEDGWICK Ashley <Ashley.Sedgwick@infrastructure.gov.au>
Cc: HALLINAN David <David.Hallinan@infrastructure.gov.au>; [Redacted]
Subject: For clearance: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

UNOFFICIAL

Hi Ashley

Please see attached the UCF audit s19 response for the Secretary's review and clearance, cleared by Dave.

Kind regards,

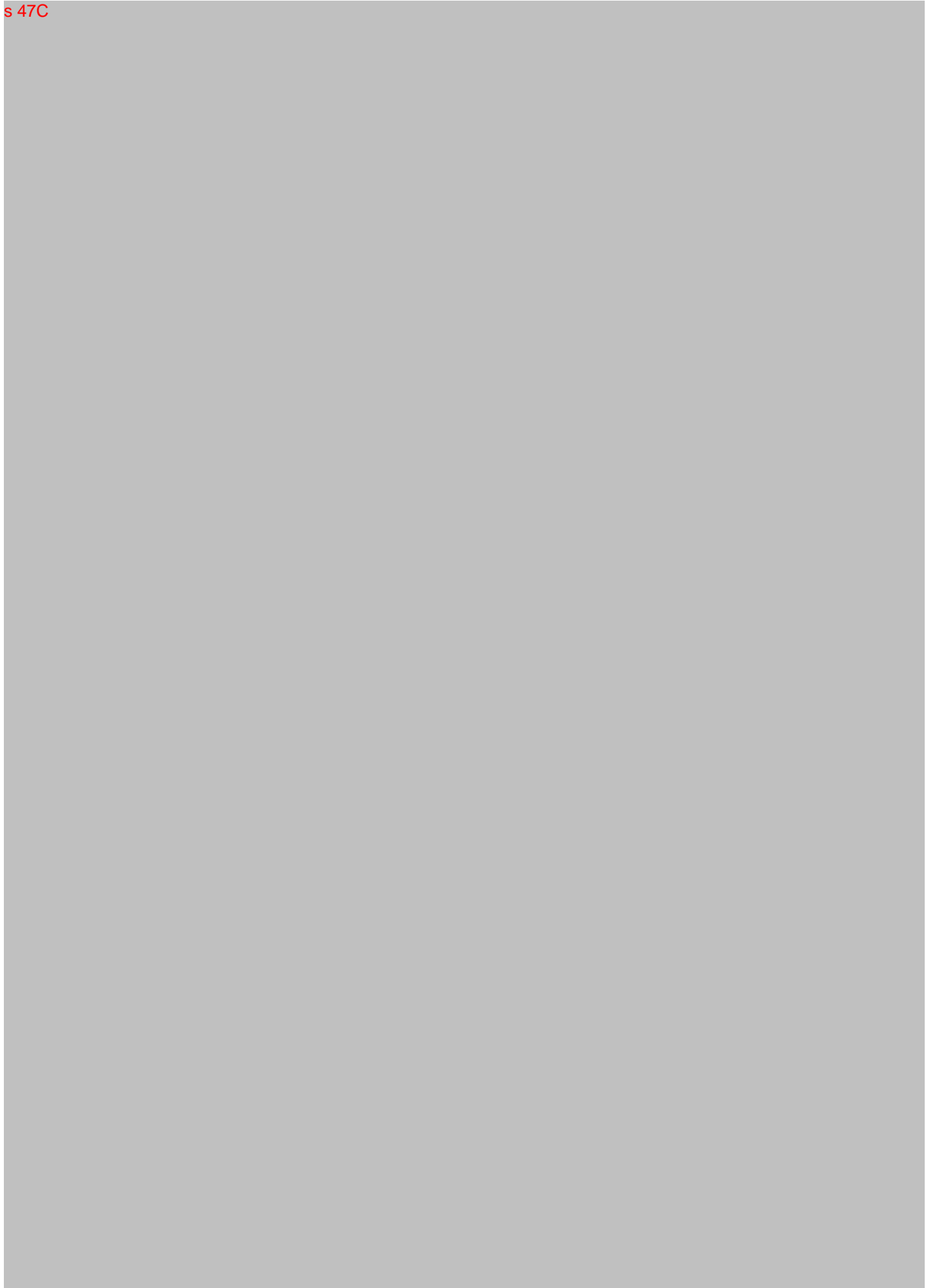


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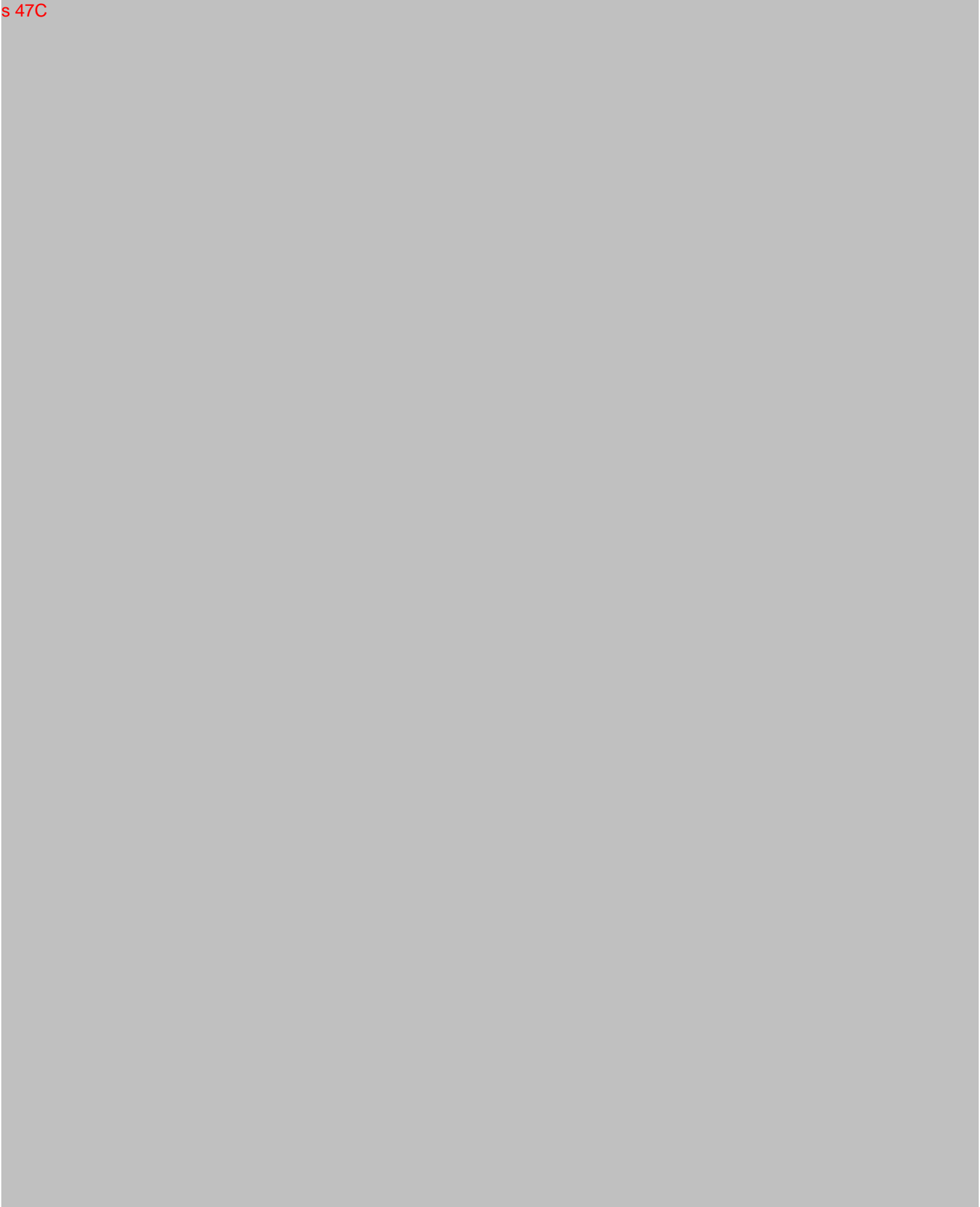
s 47C



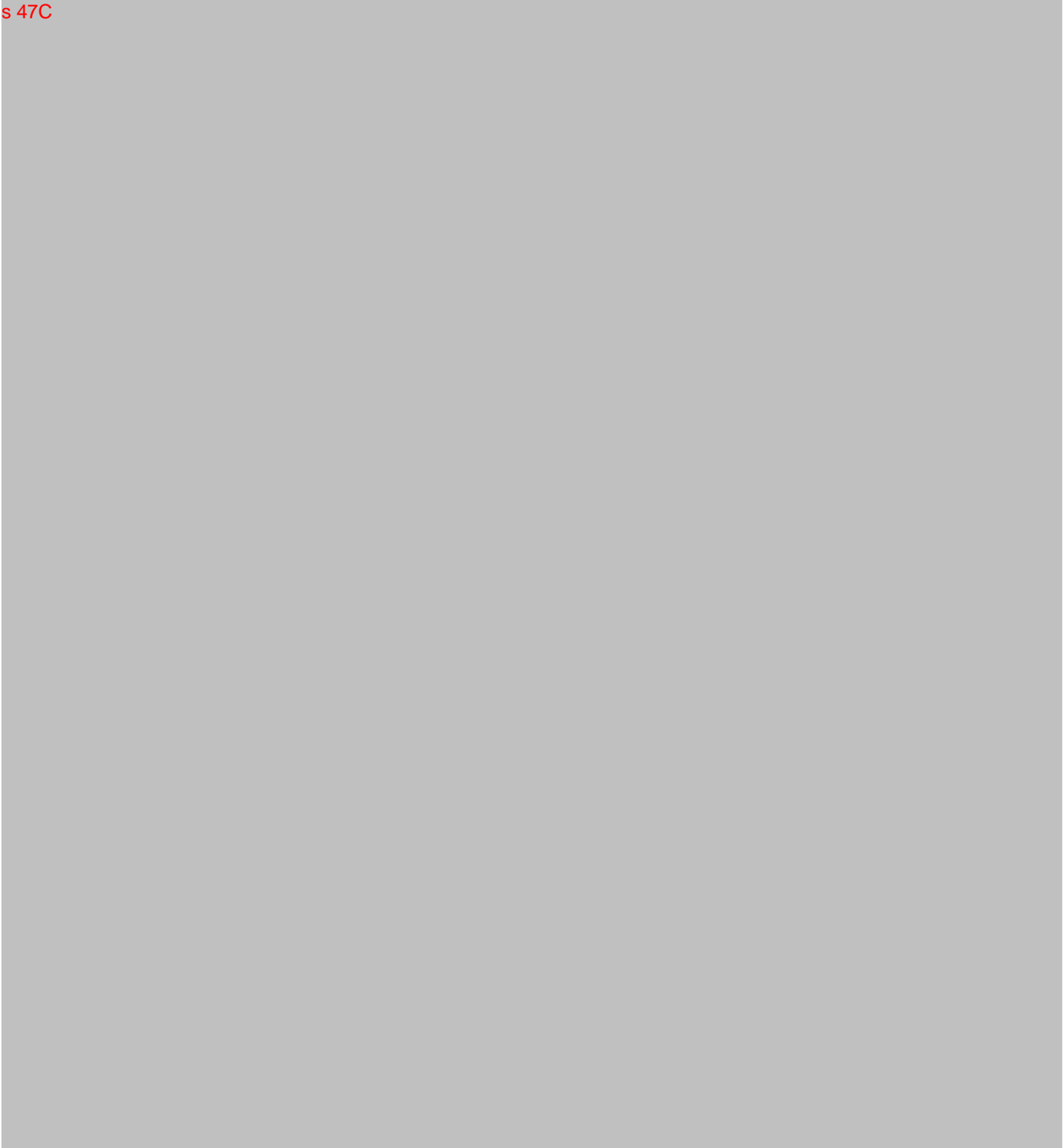
s 47C



DRAFT



s 47C



Archived: Thursday, 19 August 2021 1:30:35 PM
From: CAELLI Liz
Sent: Wed, 9 Jun 2021 23:31:56
To: [REDACTED]s
Cc: Smith Philip; CARUSO Daniel; HALLINAN David
Subject: RE: For comment: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]
Sensitivity: Normal
Attachments:
[UCF_Section 19 response_8 june_to secretary.docx](#);

UNOFFICIAL

Hi [REDACTED]

I have had a quick read of the proposed response and have made some minor edits in the attached (for example, the title of the Auditor-General for Australia) and my initial comments are below:



Thanks

Liz

UNOFFICIAL

From: [REDACTED]@infrastructure.gov.au>
Sent: Wednesday, 9 June 2021 10:07 PM
To: CAELLI Liz <Liz.Caelli@infrastructure.gov.au>
Cc: Smith Philip <Philip.Smith2@infrastructure.gov.au>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: For comment: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

UNOFFICIAL

47C

Happy to discuss any aspect

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UNOFFICIAL

From: [redacted] <[redacted]@infrastructure.gov.au>
Sent: Wednesday, 9 June 2021 2:10 PM
To: [redacted] <[redacted]@infrastructure.gov.au>; Smith Philip <Philip.Smith2@infrastructure.gov.au>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: FW: For clearance: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

UNOFFICIAL

FYI

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UNOFFICIAL

From: [redacted]
Sent: Wednesday, 9 June 2021 12:49 PM
To: SEDGWICK Ashley <Ashley.Sedgwick@infrastructure.gov.au>
Cc: HALLINAN David <David.Hallinan@infrastructure.gov.au>; [redacted]
Subject: For clearance: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

UNOFFICIAL

Hi Ashley

Please see attached the UCF audit s19 response for the Secretary's review and clearance, cleared by Dave.

Kind regards,

[REDACTED]
[REDACTED]
GPO Box 594 Canberra, ACT 2601

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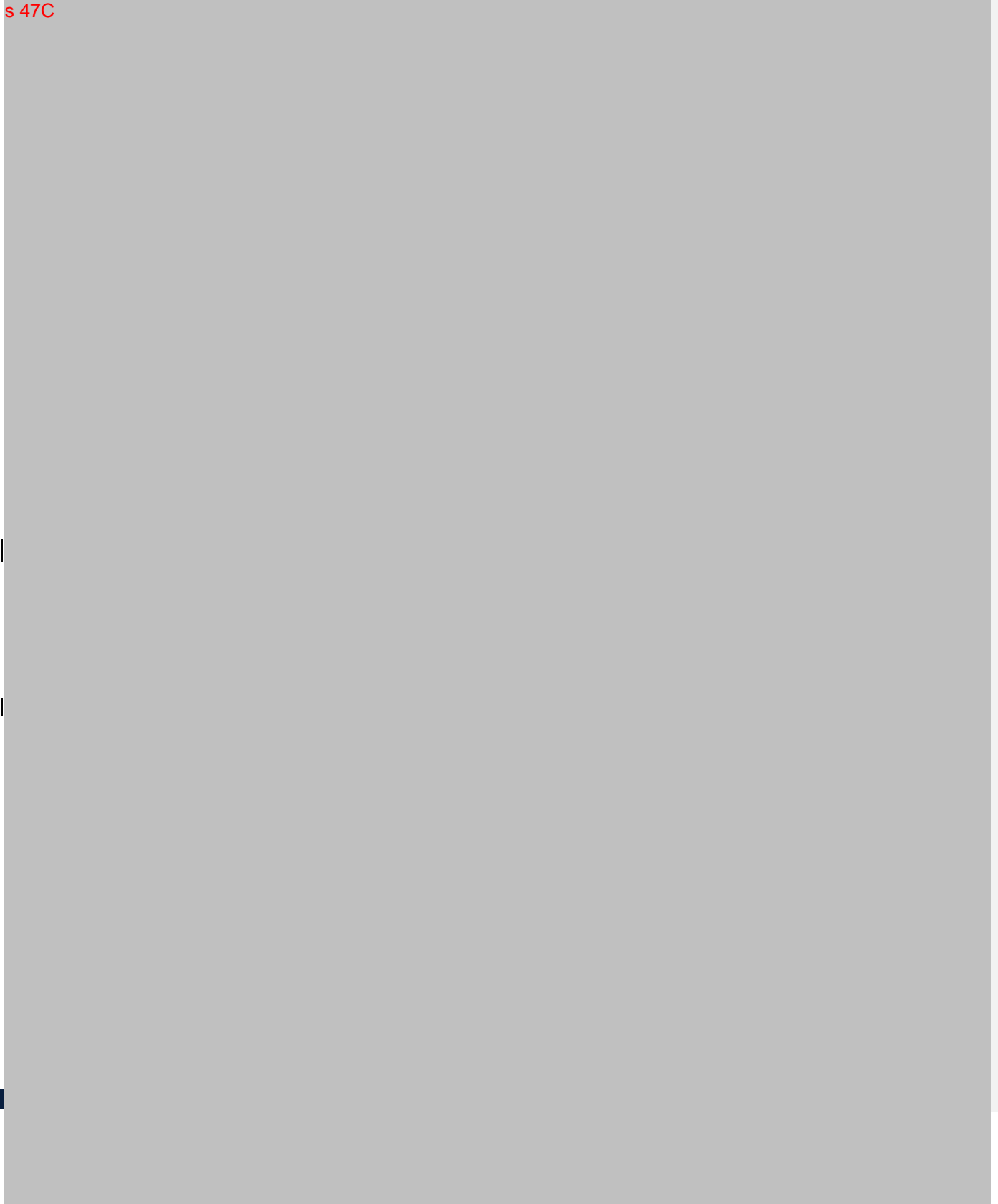
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UNOFFICIAL

s 47C



s 47C

s 47C

DRAFT

s 47C



s 47C



From: [Redacted]
Sent: Thursday, 10 June 2021 8:20 AM
To: CAELLI Liz
Cc: Smith Philip; CARUSO Daniel
Subject: RE: For comment: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

UNOFFICIAL

Hi Liz

Thanks – we’ll work through your changes.

47C

UNOFFICIAL

From: CAELLI Liz <Liz.Caelli@infrastructure.gov.au>
Sent: Wednesday, 9 June 2021 11:32 PM
To: [Redacted]@infrastructure.gov.au>
Cc: Smith Philip <Philip.Smith2@infrastructure.gov.au>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>; HALLINAN David <David.Hallinan@infrastructure.gov.au>
Subject: RE: For comment: UCF Audit - S19 Report Response [SEC=UNOFFICIAL]

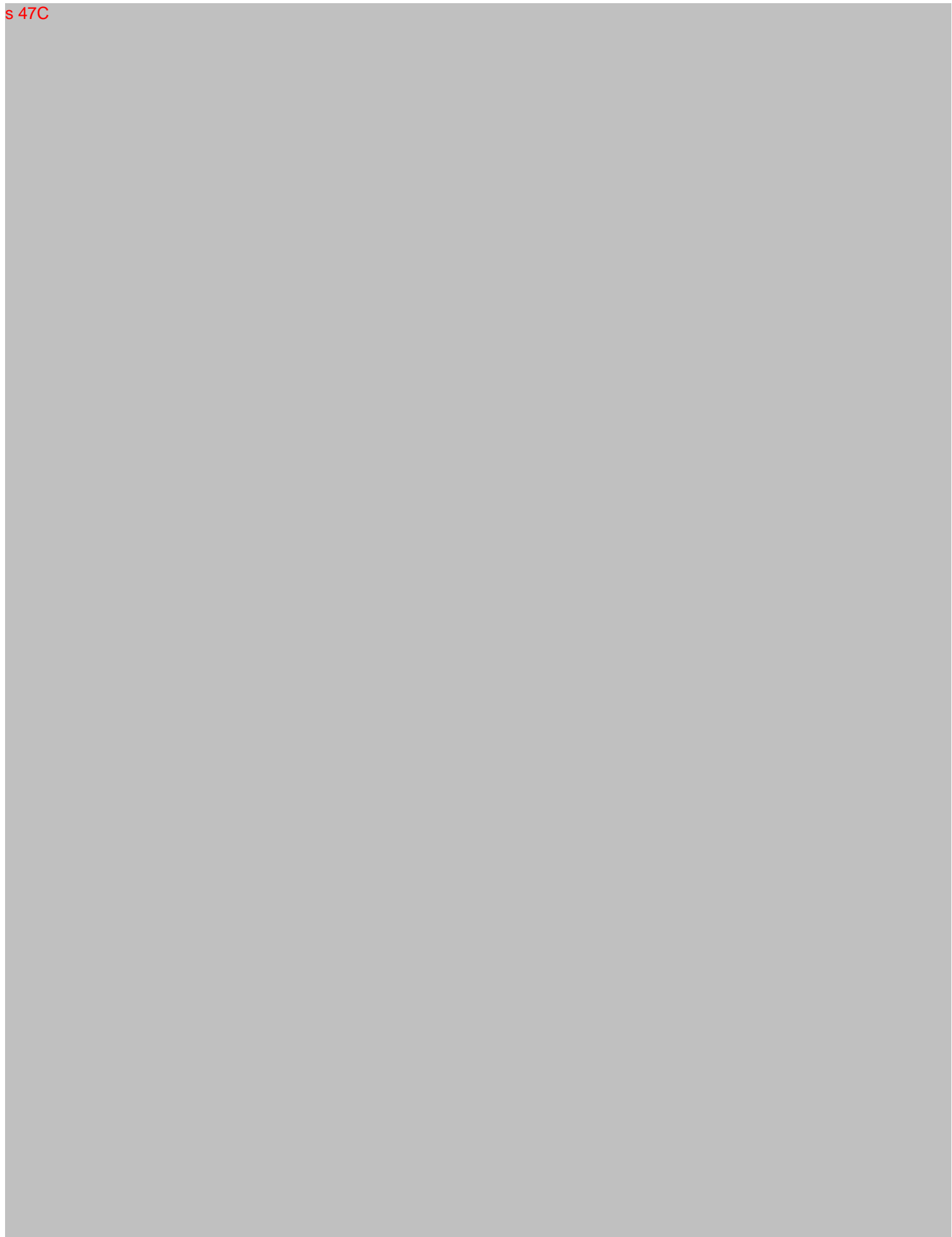
UNOFFICIAL

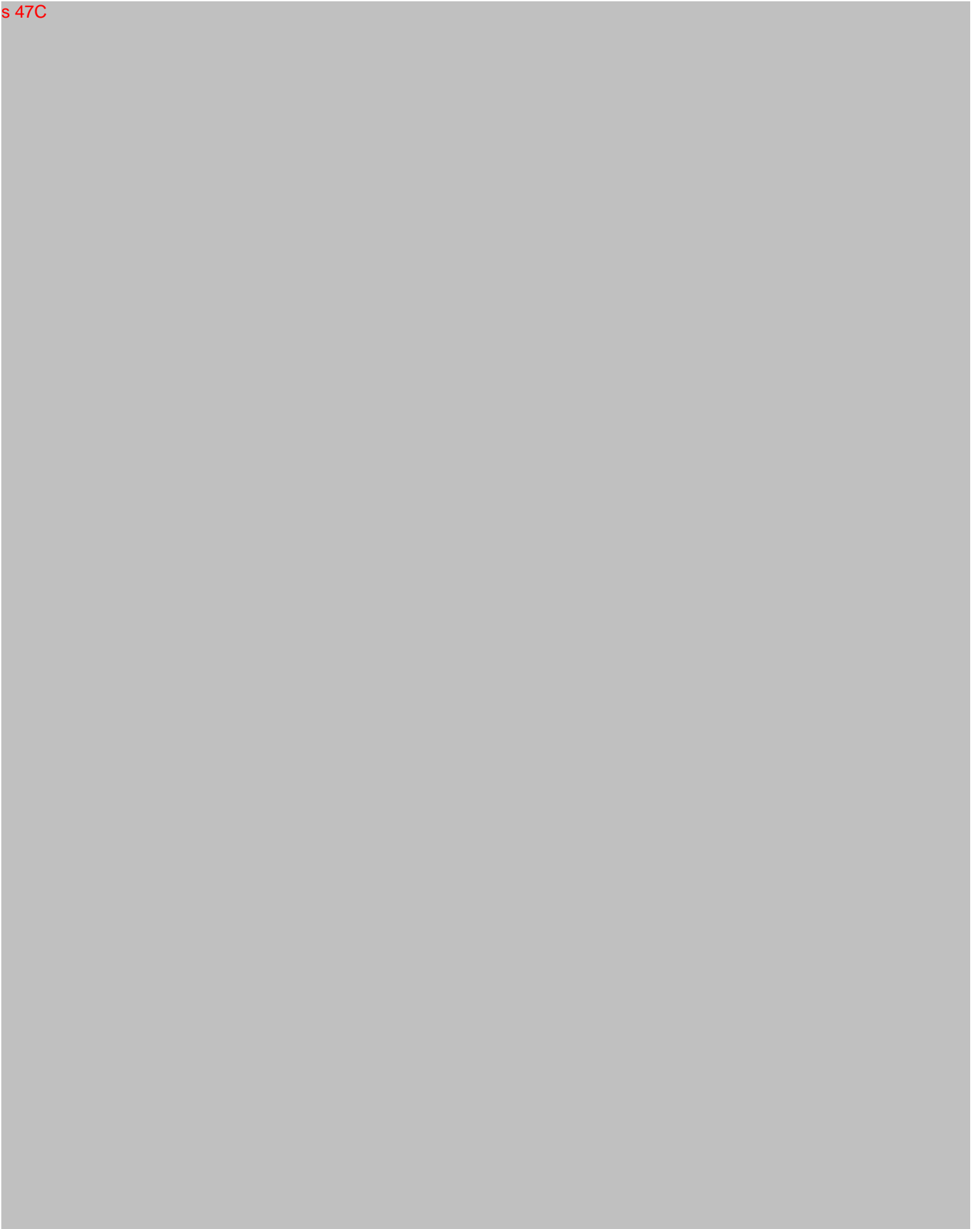
Hi [Redacted]

Duplicate below

47C









[REDACTED]

From: Smith Philip
Sent: Thursday, 10 June 2021 11:30 AM
To: [REDACTED]; CARUSO Daniel
Subject: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]
Attachments: UCF_Section 19 response_10 june.docx

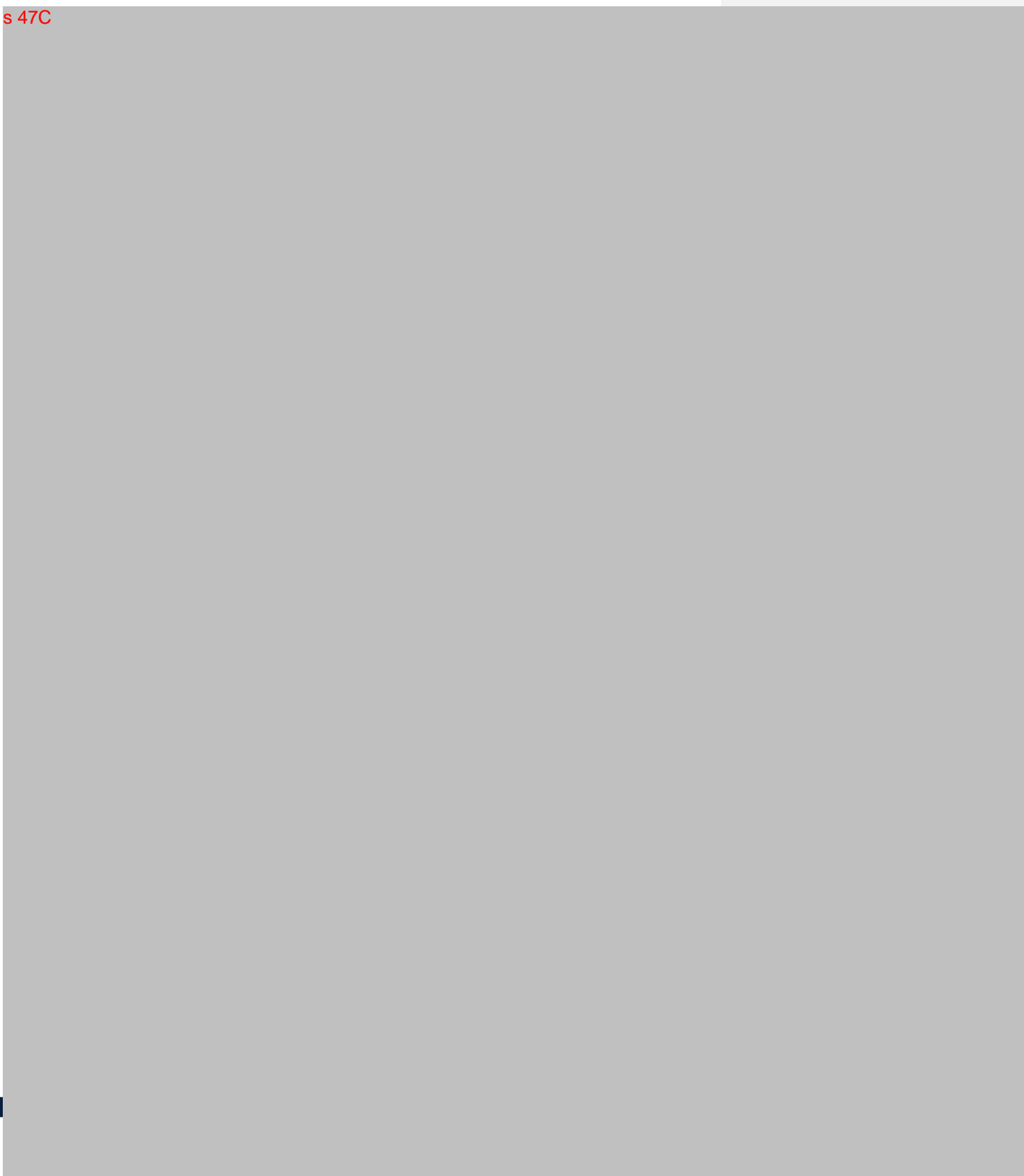
UNOFFICIAL

[REDACTED] ve made some additional changes **47C** [REDACTED]

Thanks
Phil

UNOFFICIAL

s 47C



s 47C



s 47C



s 47C

DRAFT

s 47C

s 47C

DRAFT

From: CARUSO Daniel
Sent: Thursday, 10 June 2021 12:07 PM
To: [REDACTED]
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Yes, copy me and Phil in

UNOFFICIAL

From: [REDACTED]
Sent: Thursday, 10 June 2021 12:04 PM
To: CARUSO Daniel
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Happy for me to do that?

UNOFFICIAL

From: Smith Philip <Philip.Smith2@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 12:00 PM
To: [REDACTED] <[\[REDACTED\]@infrastructure.gov.au](mailto:[REDACTED]@infrastructure.gov.au)>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Just shoot her the parra.

Philip Smith
First Assistant Secretary
Infrastructure Investment Division
Department of Infrastructure, Transport, Regional Development and Communications
t: 02 6274 7209 | m **47F** [REDACTED]
e: Philip.Smith2@infrastructure.gov.au
GPO Box 594, Canberra ACT 2601

UNOFFICIAL

From: [REDACTED] <[\[REDACTED\]@infrastructure.gov.au](mailto:[REDACTED]@infrastructure.gov.au)>
Sent: Thursday, 10 June 2021 11:59 AM
To: Smith Philip <Philip.Smith2@infrastructure.gov.au>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

I'll talk to [REDACTED] about getting this to Dave/Secretary.

47C

UNOFFICIAL

From: Smith Philip <Philip.Smith2@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 11:30 AM
To: [REDACTED] <[\[REDACTED\]@infrastructure.gov.au](mailto:[REDACTED]@infrastructure.gov.au)>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

[REDACTED] ive made some additional changes 47C [REDACTED].

Thanks
Phil

UNOFFICIAL

[REDACTED]

From: MANSON Hilary
Sent: Thursday, 10 June 2021 6:20 PM
To: Smith Philip; [REDACTED]
Cc: CARUSO Daniel
Subject: RE: Question regarding legal advice: Response to ANAO Section 19 report on UCF Commuter Car Parks [SEC=OFFICIAL]

OFFICIAL

s42



Happy to discuss

OFFICIAL

From: Smith Philip <Philip.Smith2@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 5:43 PM
To: MANSON Hilary <Hilary.Manson@infrastructure.gov.au>; [REDACTED]@infrastructure.gov.au>
Cc: CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: RE: Question regarding legal advice: Response to ANAO Section 19 report on UCF Commuter Car Parks [SEC=OFFICIAL]

OFFICIAL

Yes.

s42

Philip Smith

First Assistant Secretary

Infrastructure Investment Division

Department of Infrastructure, Transport, Regional Development and Communications

t: 02 6274 7209 | m: 47F

e: Philip.Smith2@infrastructure.gov.au

GPO Box 594, Canberra ACT 2601

OFFICIAL

From: MANSON Hilary <Hilary.Manson@infrastructure.gov.au>

Sent: Thursday, 10 June 2021 5:34 PM

To: Smith Philip <Philip.Smith2@infrastructure.gov.au>; [REDACTED] <[REDACTED]@infrastructure.gov.au>

Cc: CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>

Subject: RE: Question regarding legal advice: Response to ANAO Section 19 report on UCF Commuter Car Parks [SEC=OFFICIAL]

OFFICIAL

s42

OFFICIAL

From: Smith Philip <Philip.Smith2@infrastructure.gov.au>

Sent: Thursday, 10 June 2021 5:09 PM

To: MANSON Hilary <Hilary.Manson@infrastructure.gov.au>; [REDACTED] <[REDACTED]@infrastructure.gov.au>

Cc: CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>

Subject: Re: Question regarding legal advice: Response to ANAO Section 19 report on UCF Commuter Car Parks [SEC=OFFICIAL]

OFFICIAL

Hilary,

s42

Cheers

Phil

OFFICIAL

From: "MANSON Hilary" <Hilary.Manson@infrastructure.gov.au>

Date: Thursday, 10 June 2021 at 4:54:39 pm

To: [REDACTED] <[\[REDACTED\]@infrastructure.gov.au](mailto:[REDACTED]@infrastructure.gov.au)>

Cc: "CARUSO Daniel" <Daniel.Caruso@infrastructure.gov.au>, "Smith Philip" <Philip.Smith2@infrastructure.gov.au>

Subject: RE: Question regarding legal advice: Response to ANAO Section 19 report on UCF Commuter Car Parks [SEC=OFFICIAL]

OFFICIAL

[REDACTED]
s42

OFFICIAL

From: [REDACTED] <[\[REDACTED\]@infrastructure.gov.au](mailto:[REDACTED]@infrastructure.gov.au)>

Sent: Thursday, 10 June 2021 12:24 PM

To: MANSON Hilary <Hilary.Manson@infrastructure.gov.au>

Cc: CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>; Smith Philip <Philip.Smith2@infrastructure.gov.au>

Subject: Question regarding legal advice: Response to ANAO Section 19 report on UCF Commuter Car Parks [SEC=OFFICIAL]

OFFICIAL

Good afternoon Hilary.

s42

*I would like to acknowledge the traditional custodians of this land on which we meet, work and live.
I recognise and respect their continuing connection to the land, waters and communities.
I pay my respects to Elders past and present and to all Aboriginal and Torres Strait Islanders.*

s47C

OFFICIAL

From: [redacted]
Sent: Thursday, 10 June 2021 1:51 PM
To: [redacted]
Cc: [redacted]
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Thanks [redacted]

What were the changes that were made 47C [redacted]

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UNOFFICIAL

From: [redacted]
Sent: Thursday, 10 June 2021 12:57 PM
[redacted]
Subject: FW: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Leigh

We have been revising our response to the ANAO report on the Commuter Car Parks this morning f 47C [redacted]

Please see attached our latest draft.

47C

Happy to discuss if you have any questions

47F

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UNOFFICIAL

From: [REDACTED]
Sent: Thursday, 10 June 2021 11:59 AM
To: Smith Philip <Philip.Smith2@infrastructure.gov.au>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

I'll talk to Leigh about getting this to Dave/Secretary.

47C

UNOFFICIAL

From: Smith Philip <Philip.Smith2@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 11:30 AM
To: [REDACTED] <[\[REDACTED\]@infrastructure.gov.au](mailto:[REDACTED]@infrastructure.gov.au)>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

ve made some additional changes to 47C as.

Thanks
Phil

UNOFFICIAL

[Redacted]

From: [Redacted]
Sent: Thursday, 10 June 2021 3:00 PM
To: [Redacted]
Cc: [Redacted]
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Thanks [Redacted] I have sent through to Dave for his review. Will let you know if any further changes are required / will send through to Ashley

Thanks

[Redacted]

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I would like to acknowledge the traditional custodians of this land on which we meet, work and live. I recognise and respect their continuing connection to the land, waters and communities. I pay my respects to Elders past and present and to all Aboriginal and Torres Strait Islanders.

UNOFFICIAL

From: [Redacted]
Sent: Thursday, 10 June 2021 2:35 PM
To: [Redacted]
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

See attached.

47C

UNOFFICIAL

From: [redacted] infrastructure.gov.au>
Sent: Thursday, 10 June 2021 2:08 PM
To: [redacted] infrastructure.gov.au>
Cc: [redacted] @infrastructure.gov.au>
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Ok thanks.

Have just flagged with Ashley. Do you have a copy in track changes? She has asked for the changes to be provided in track.

Thank you



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UNOFFICIAL

From: [redacted] @infrastructure.gov.au>
Sent: Thursday, 10 June 2021 1:58 PM
To: [redacted]
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Yes – 47C

UNOFFICIAL

From: [redacted] @infrastructure.gov.au>
Sent: Thursday, 10 June 2021 1:57 PM

To: [redacted]@infrastructure.gov.au>
Cc: [redacted]@infrastructure.gov.au>
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Thanks [redacted].

47C

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UNOFFICIAL

From: [redacted]@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 1:54 PM
To: [redacted] >
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Primary changes are:

- 47C

e

UNOFFICIAL

From: [redacted]@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 1:51 PM
To: [redacted]@infrastructure.gov.au>

Cc: [redacted] [infrastructure.gov.au](mailto:[redacted]@infrastructure.gov.au)>
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

Thanks [redacted]

What were the changes that were made so I can let Dave know 47C [redacted]

[redacted]
GPO Box 594 Canberra, ACT 2601

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UNOFFICIAL

From: [redacted] [@infrastructure.gov.au](mailto:[redacted]@infrastructure.gov.au)>
Sent: Thursday, 10 June 2021 12:57 PM
To: [redacted]
Subject: FW: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

[redacted]
47C [redacted]

Happy to discuss if you have any questions

[REDACTED]
GPO Box 594 Canberra, ACT 2601

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UNOFFICIAL

From: [REDACTED]
Sent: Thursday, 10 June 2021 11:59 AM
To: Smith Philip <Philip.Smith2@infrastructure.gov.au>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: RE: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

I'll talk to Leigh about getting this to Dave/Secretary.

47C

[REDACTED]

UNOFFICIAL

From: Smith Philip <Philip.Smith2@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 11:30 AM
To: [REDACTED] <[\[REDACTED\]@infrastructure.gov.au](mailto:[REDACTED]@infrastructure.gov.au)>; CARUSO Daniel <Daniel.Caruso@infrastructure.gov.au>
Subject: UCF_Section 19 response_10 june.docx [SEC=UNOFFICIAL]

UNOFFICIAL

[REDACTED] – ive made some additional changes to 47C

[REDACTED]

Thanks
Phil

UNOFFICIAL

Archived: Thursday, 19 August 2021 1:02:55 PM
From: [redacted]
Sent: Thu, 10 Jun 2021 15:18:49
To: SEDGWICK Ashley
Cc: HALLINAN David; Smith Philip; CARUSO Daniel; [redacted]
Subject: FW: For review: Updated s19 response [SEC=OFFICIAL]
Sensitivity: Normal
Attachments:
UCF_Section 19 response_10 june v3.docx;

OFFICIAL

Hi Ashley
See attached revised s19 response for the Secretary's review.
The changes have been made in track changes.
Hard copy (track and clean) to follow.
Thanks

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Department of Infrastructure, Transport, Regional Development and Communications
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OFFICIAL

From: HALLINAN David <David.Hallinan@infrastructure.gov.au>
Sent: Thursday, 10 June 2021 3:11 PM
To: [redacted]@infrastructure.gov.au
Subject: Re: For review: Updated s19 response [SEC=OFFICIAL]

OFFICIAL

All good by me. 47C [redacted]

Thanks
D

OFFICIAL

From: [redacted]@infrastructure.gov.au
Date: Thursday, 10 June 2021 at 2:58:50 pm
To: "HALLINAN David" <David.Hallinan@infrastructure.gov.au>
Subject: For review: Updated s19 response [SEC=OFFICIAL]

OFFICIAL

Hi Dave

James and the team have revised the s19 response f

47C

47C

I have flagged with Ashley. If you are happy with the attached, I will provide to Ashley for Simon's review.

OFFICIAL



s 47C

s 47C

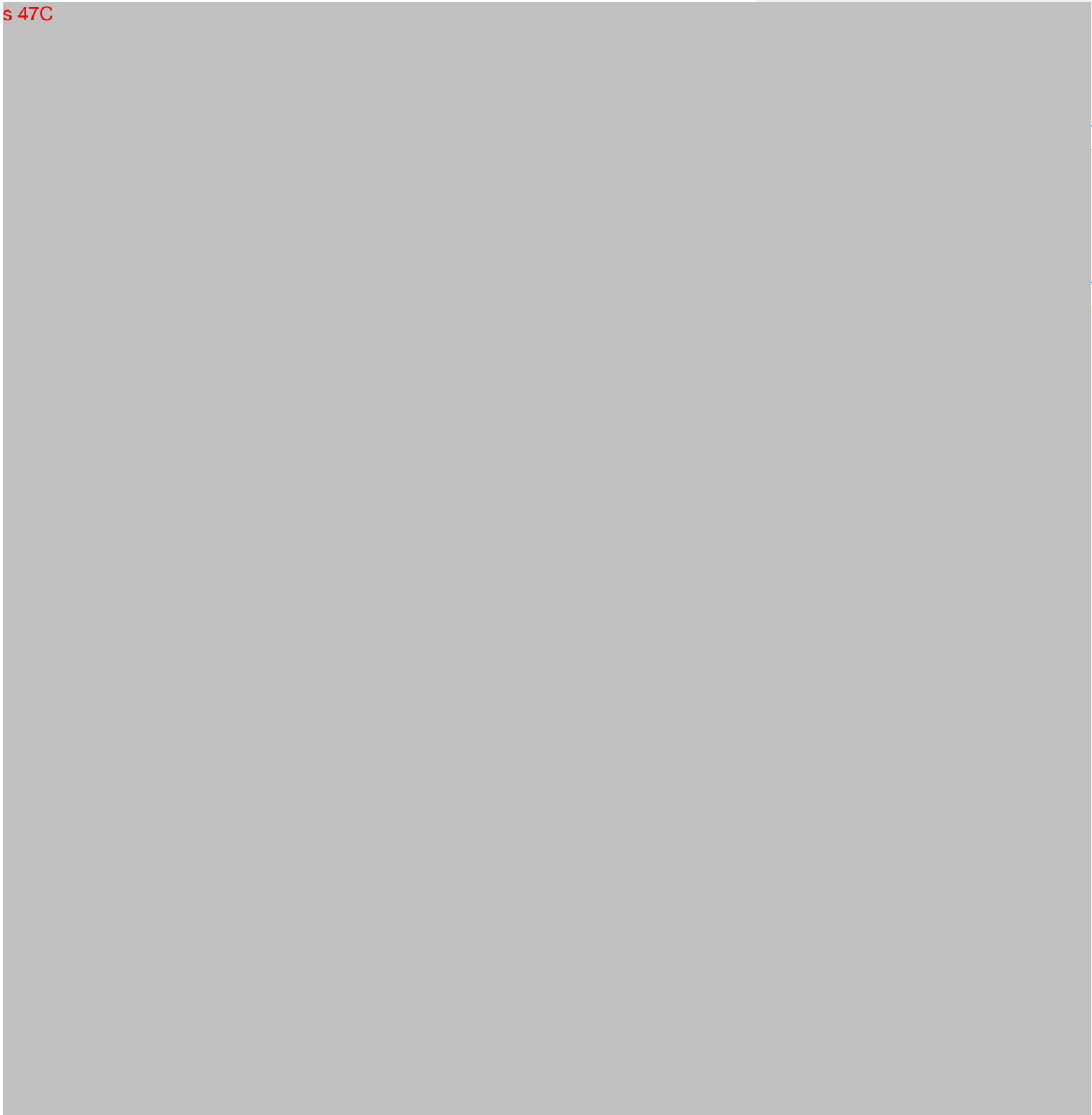


s 47C

DRAFT

s 47C

s 47C



s 47C

DRAFT

From: [Redacted]
Sent: Friday, 11 June 2021 1:27 PM
To: Smith Philip; CARUSO Daniel
Cc: CAELLI Liz
Subject: Latest ANAO draft - s19 response UCF audit [SEC=OFFICIAL]
Attachments: UCF_Section 19 response_11 junev2.docx

OFFICIAL

Phil, Dan

Latest draft attached.

47C [Redacted]

[Redacted]

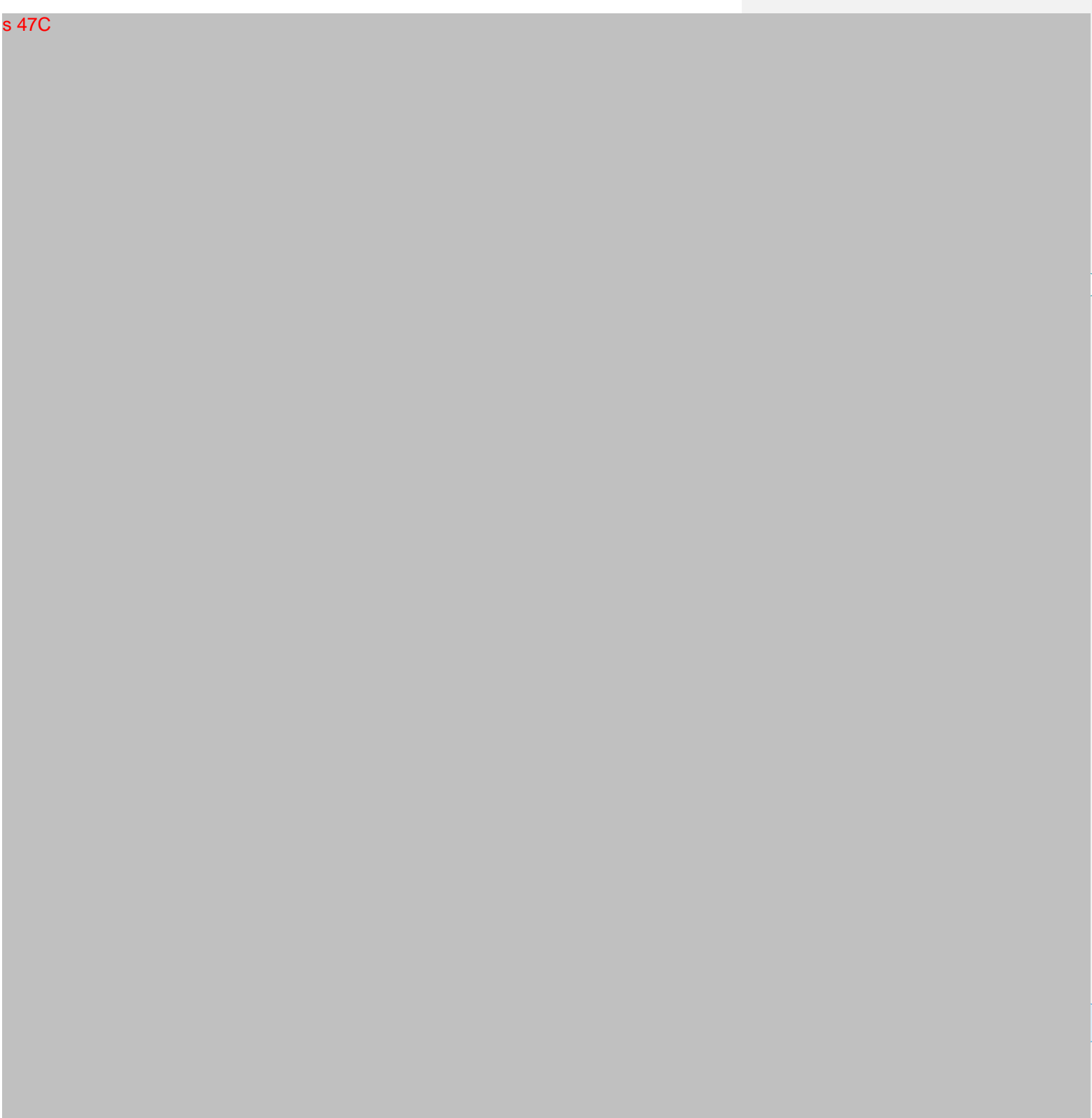
GPO Box 594 Canberra, ACT 2601

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OFFICIAL



s 47C



s 47C



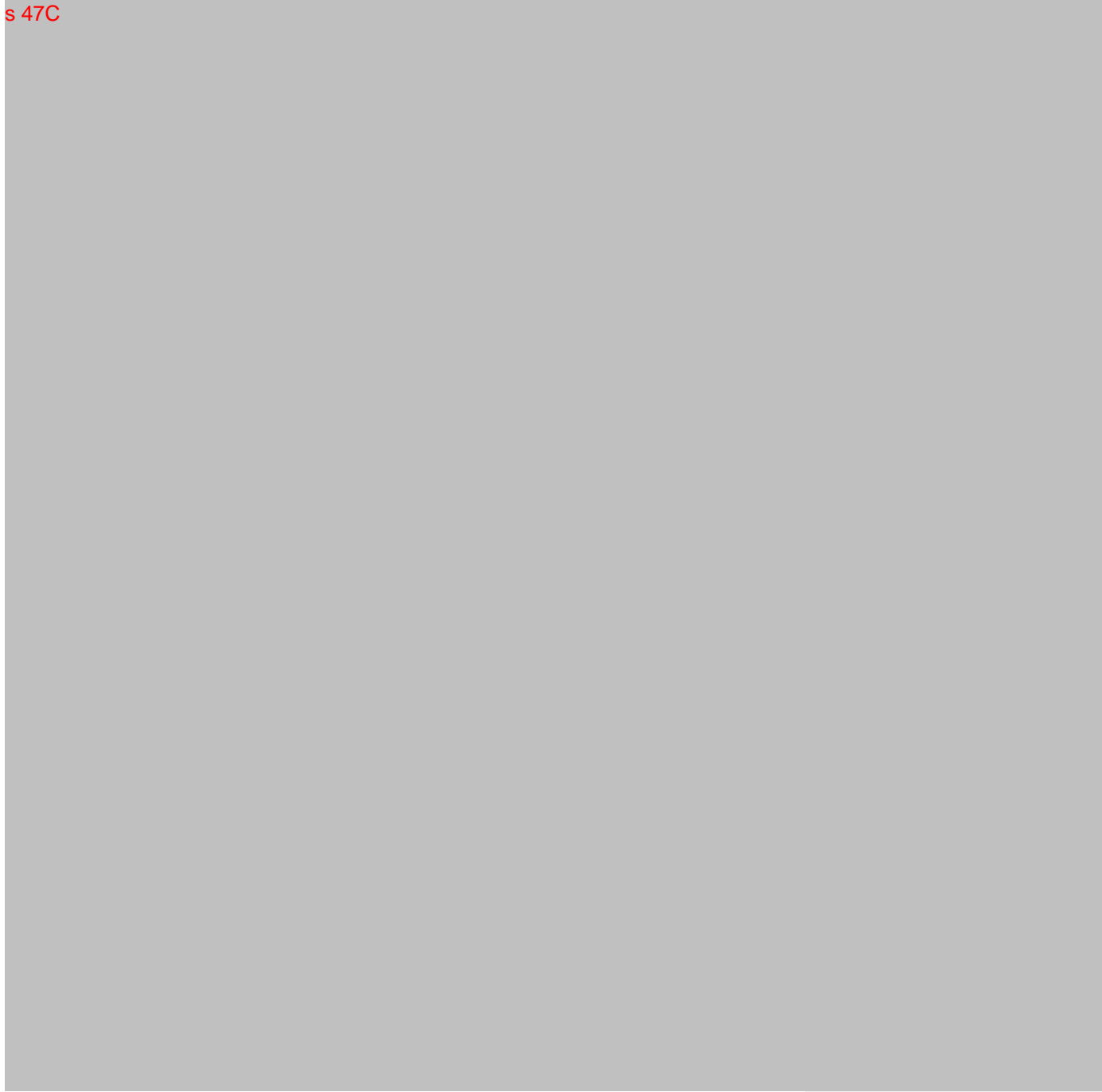
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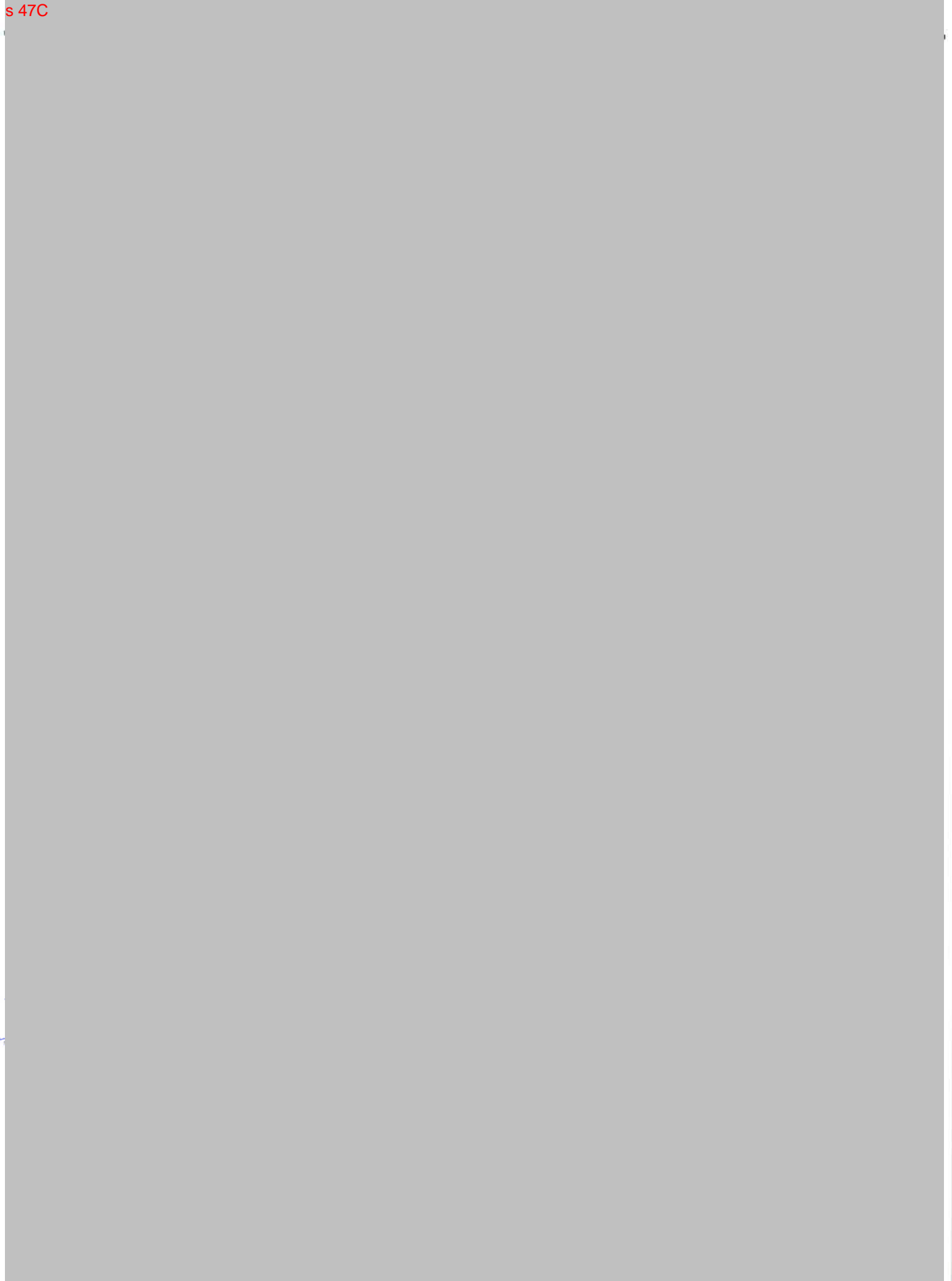
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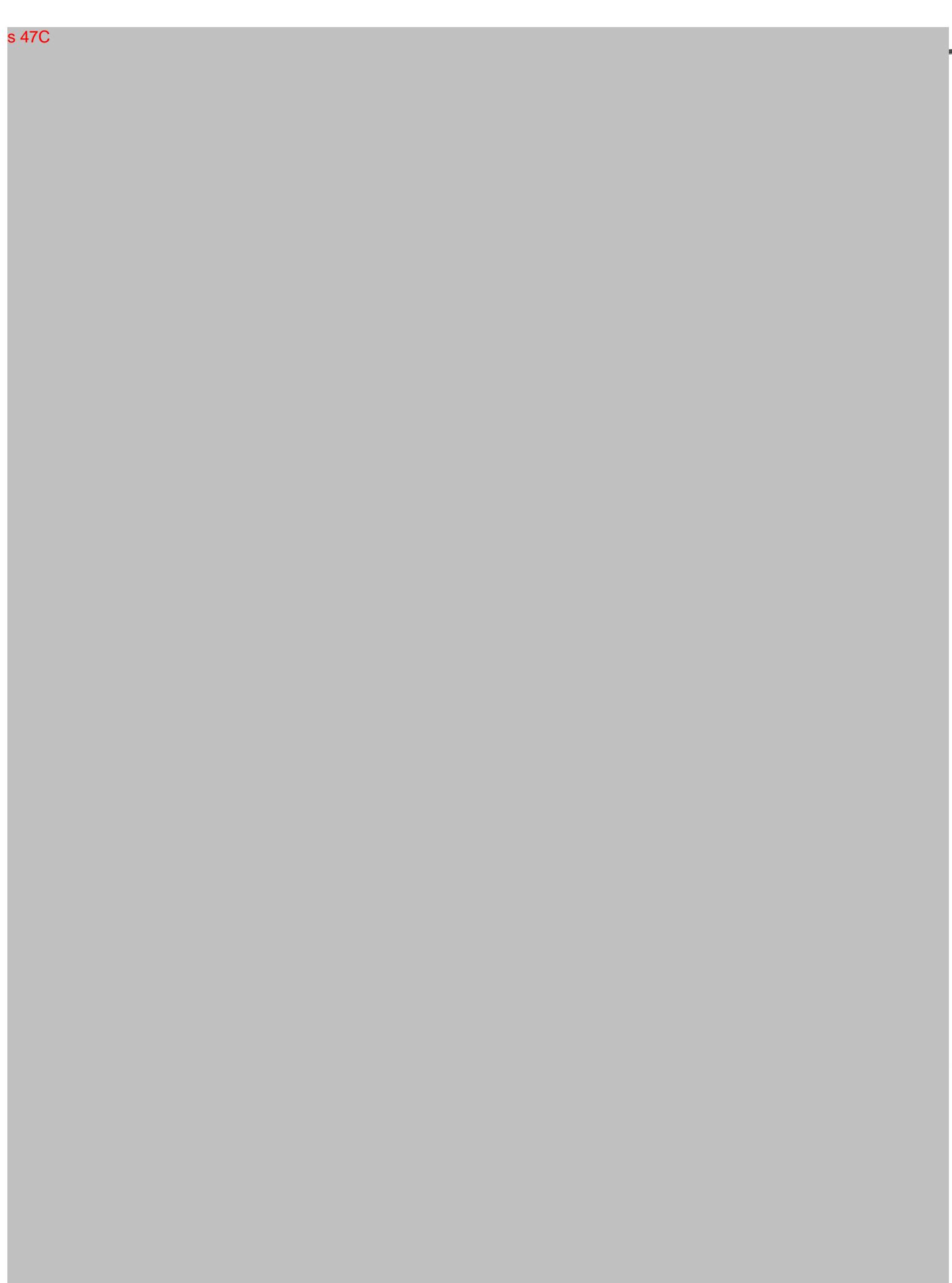
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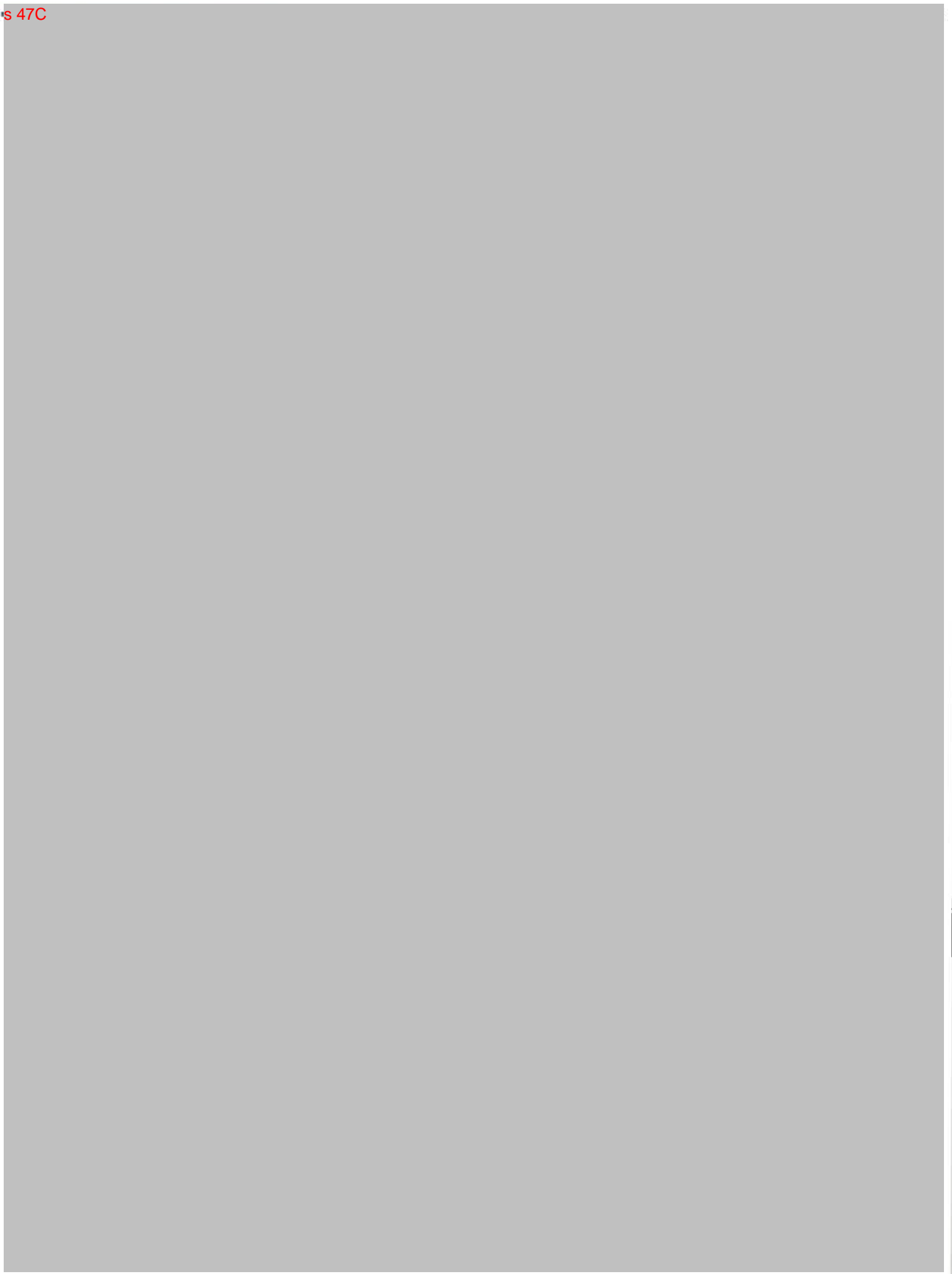


From: [redacted]@infrastructure.gov.au
Sent: Friday, 11 June 2021 2:16 PM
To: [redacted]s
Subject: Message from NB4WM02C
Attachments: SAL1WM02C21061114150.pdf









Archived: Thursday, 19 August 2021 1:00:43 PM

From: [REDACTED]

Sent: Fri, 11 Jun 2021 14:28:41

To: SEDGWICK Ashley

Cc: [REDACTED]; HALLINAN David; Smith Philip; CARUSO Daniel; CAELLI Liz

Subject: For Signature by Secretary: Auditor-General Proposed Audit Report on The Administration of Commuter Car Park Projects within the Urban Congestion Fund [SEC=OFFICIAL]

Sensitivity: Normal

Attachments:

SAL1WM02C21061114150.pdf; Response_ANAO audit_Administration of Commuter Carparks in UCF.docx;

OFFICIAL

Good afternoon Ashley

As discussed this morning please find attached for signature by the Secretary the Department's response to the ANAO's proposed report on our Administration of Commuter Car Parks within the Urban Congestion Fund.

47C

We'd be grateful for confirmation when it has been signed and I am happy to assist with sending if required, please let me know.

GPO Box 594 Canberra, ACT 2601

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OFFICIAL

From: Office of the Auditor-General - Performance Audit <OfficeoftheAuditorGeneralPerformanceAudit@anao.gov.au>

Sent: Monday, 17 May 2021 12:40 PM

To: ATKINSON Simon <Simon.Atkinson@infrastructure.gov.au>

Cc: [REDACTED] <[\[REDACTED\]@anao.gov.au](mailto:[REDACTED]@anao.gov.au)>; Brian Boyd <Brian.Boyd@anao.gov.au>; [REDACTED] <[\[REDACTED\]@anao.gov.au](mailto:[REDACTED]@anao.gov.au)>

Subject: Auditor-General Proposed Audit Report on The Administration of Commuter Car Park Projects within the Urban Congestion Fund [SEC=OFFICIAL:Sensitive]

Importance: High

OFFICIAL: Sensitive

Simon Atkinson
Secretary
Department of Infrastructure, Transport, Regional Development and Communications

Dear Mr Atkinson

Please find attached a proposed audit report on The Administration of Commuter Car Park Projects within the Urban Congestion Fund. The proposed report is provided to you pursuant to section 19 of the *Auditor-General Act 1997* (the Act).

The Act provides you with 28 days to provide written comments on the report, and that any comments provided within that timeframe must be considered before preparing the final report. The final date for providing written comments is **14 June 2021**. The final report is expected to be

presented for tabling in the Parliament during June 2021 – the tabling date for the final report will be advised once known.

Confidentiality

The proposed audit report is covered by the confidentiality obligation in subsection 36(3) of the Act and must be safeguarded at all times. As noted on the proposed audit report's cover sheet, you may disclose, on a confidential basis, the report to relevant officers of the Department of Infrastructure, Transport, Regional Development and Communications ('Department of Infrastructure'), as well as members of the Department of Infrastructure's audit committee, to assist in preparing comments on the report or monitoring entity risks. Please note that subsection 36(3) of the Act includes a penalty for contravening the confidentiality obligation.

Information from the proposed audit report can only be disclosed to other persons (such as external legal advisers, contractors, consultants and Ministers), with my written consent. You may, of course, provide those persons with information such as the objectives and criteria of an audit (which are published on the ANAO's website) and factual material on the audit process, such as what stage an audit is at (consistent with what is published on the ANAO's website).

Please note that only you have been provided a copy of this proposed audit report. However, an email will be sent to David Hallinan, Phil Smith and Liz Caelli to inform them that you have received the proposed audit report. If you wish, you may use your discretion to disclose the proposed audit report to those or any other officials in the Department of Infrastructure on a confidential basis.

Forms of response

The final audit report is intended to be an informative and readable document. Any comments on the proposed report should therefore be directly relevant to the audit findings and recommendations, and be reasonably succinct. Please provide the following responses:

1. Letter of reply (published in full as an appendix)

A letter that will constitute the formal Department of Infrastructure response to the proposed audit report and will be reproduced as an appendix to the final audit report.

2. Summary response (published in full at end of report summary or in the appendix, depending on length)

A short summary of your formal response that will be included in the report summary. The summary should cover no more than half a page. Longer summaries may be placed in the appendix following the letter of reply.

3. Responses to recommendations (published throughout report immediately below recommendations)

A response to each of the report's recommendations that will be reproduced under the recommendation in the body of the report. The response should indicate whether the Department of Infrastructure agrees or disagrees with the recommendation and the actions that you intend to take in response to the recommendation(s).

4. Editorial matters (if any) you wish to bring to our attention

In preparing your reply, you may identify, for consideration, comments or matters of an editorial nature that you would like incorporated, where appropriate, in the text of the final report. This material should be provided separately, and will not be published as part of the formal Department of Infrastructure response to the audit report.

Copies and extracts to other entities

I have also provided:

- copies of the proposed report to: the Hon Paul Fletcher MP (Minister for Communications, Urban Infrastructure, Cities and the Arts); and the Hon Alan Tudge MP (as the former Minister for Cities, Urban Infrastructure and Population); and
- extracts of the proposed report to the Treasury; Transport Canberra; Transport for NSW; Department of Infrastructure, Planning and Logistics, Northern Territory; Department of Transport and Main Roads, Queensland; Department for Infrastructure and Transport, South Australia; Department of State Growth, Tasmania; Department of Transport, Victoria; Public Transport Authority, Western Australia; Maroondah City Council; Parking Australia; and National Growth Areas Alliance.

Next steps

There may be some amendments made to the report following consideration of any comments received. In this case, you will be advised of any substantive amendments made, and provided an opportunity to consider whether it may be appropriate for you to update your original comments.

A copy of the final report, incorporating any changes and your formal comments, will be provided to you for your information prior to its tabling. The audit team can provide a briefing on the final report if this would be of assistance to you or relevant officers.

Contacts

I am available for further discussions on the proposed report as required. Alternatively your staff members, to whom you have provided the proposed audit report, may wish to contact the Executive Director responsible for the audit, Brian Boyd on (02) 6203 7672 or brian.boyd@anao.gov.au, or the Audit Manager, Tracey Bremner on tracey.bremner@anao.gov.au.

Yours sincerely





Grant Hehir
Auditor-General for Australia
Australian National Audit Office
Tel: 02 6203 7500

Classification: OFFICIAL: Sensitive
Classified on: 17/05/2021 10:25:59 AM

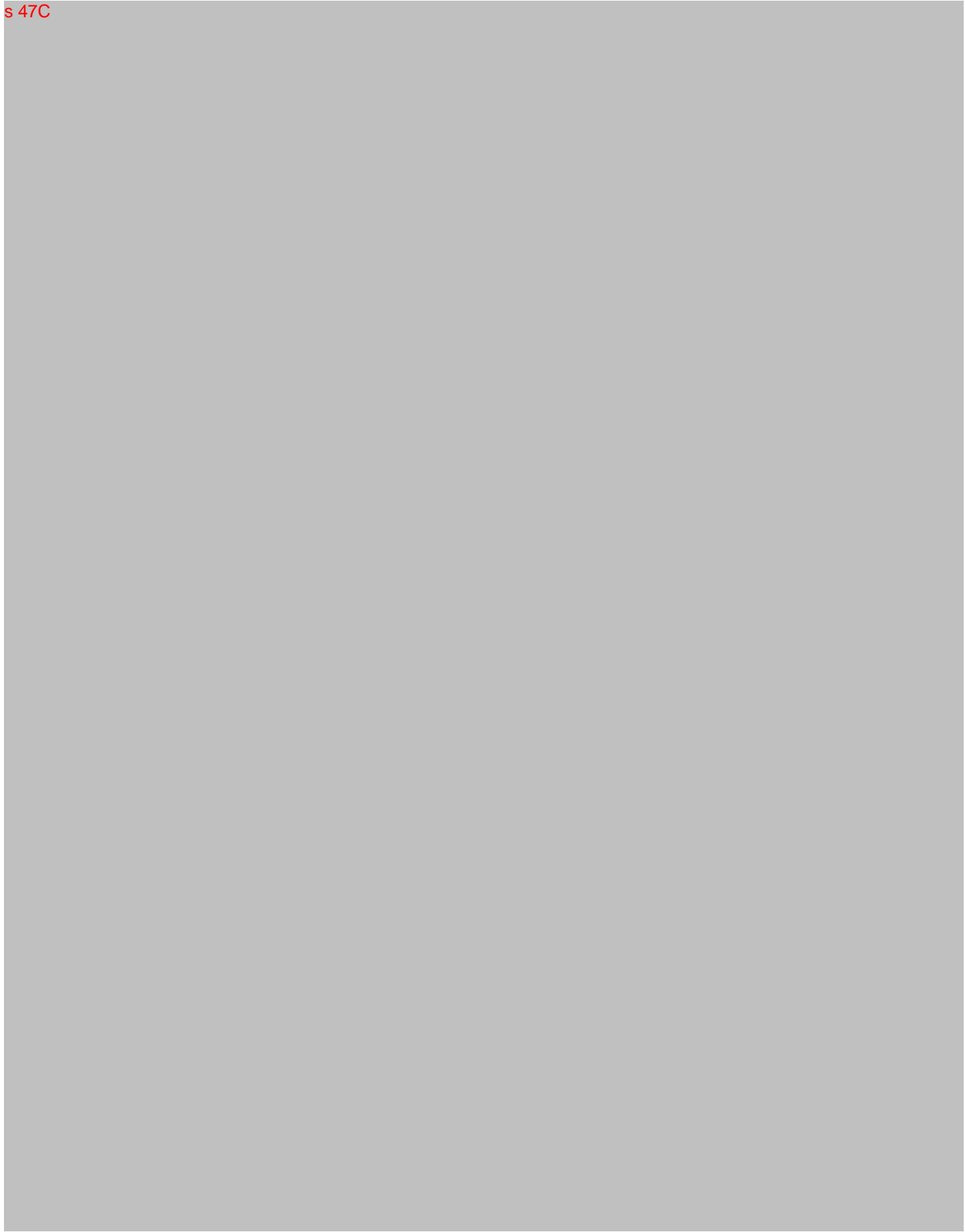
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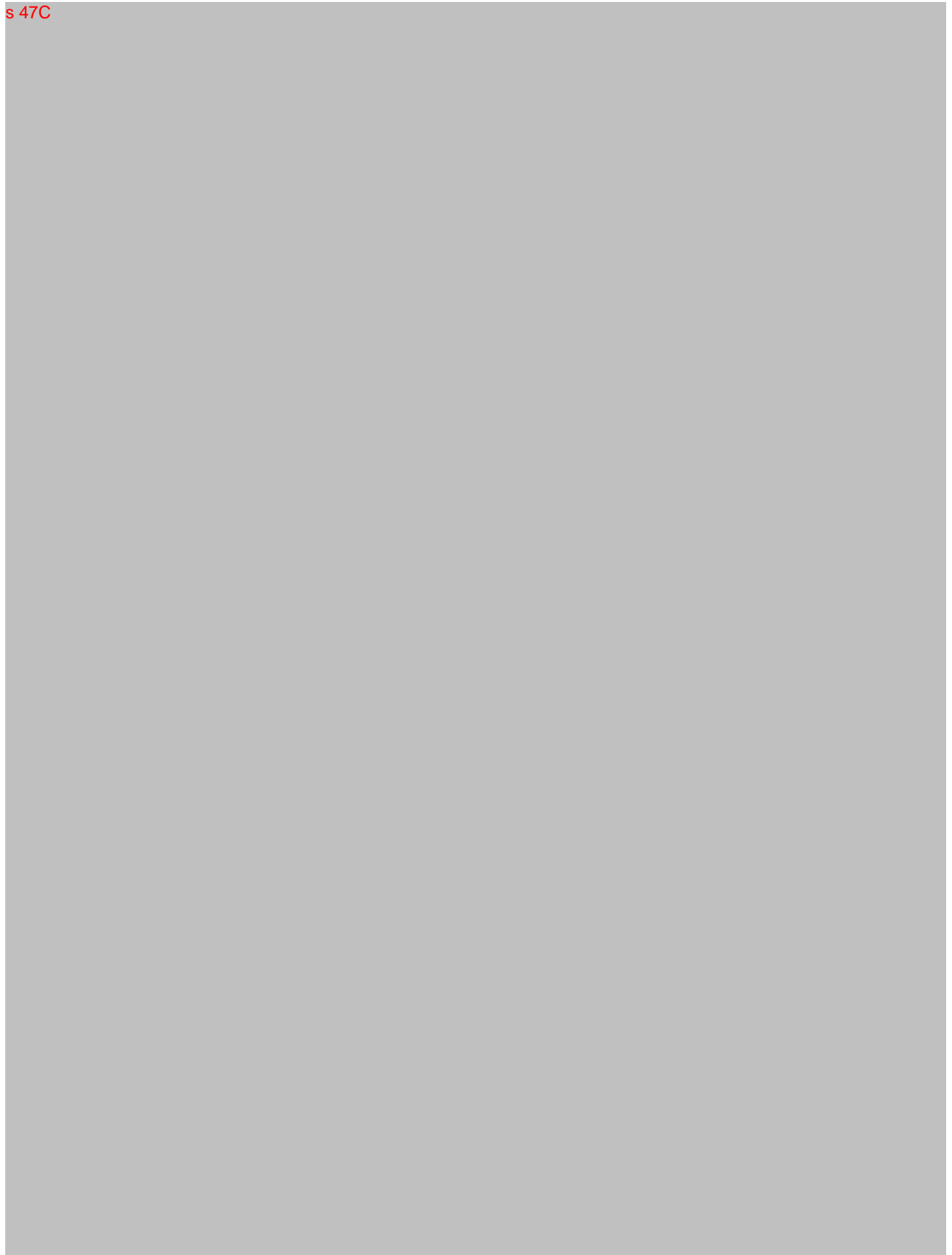
This notice should not be removed.

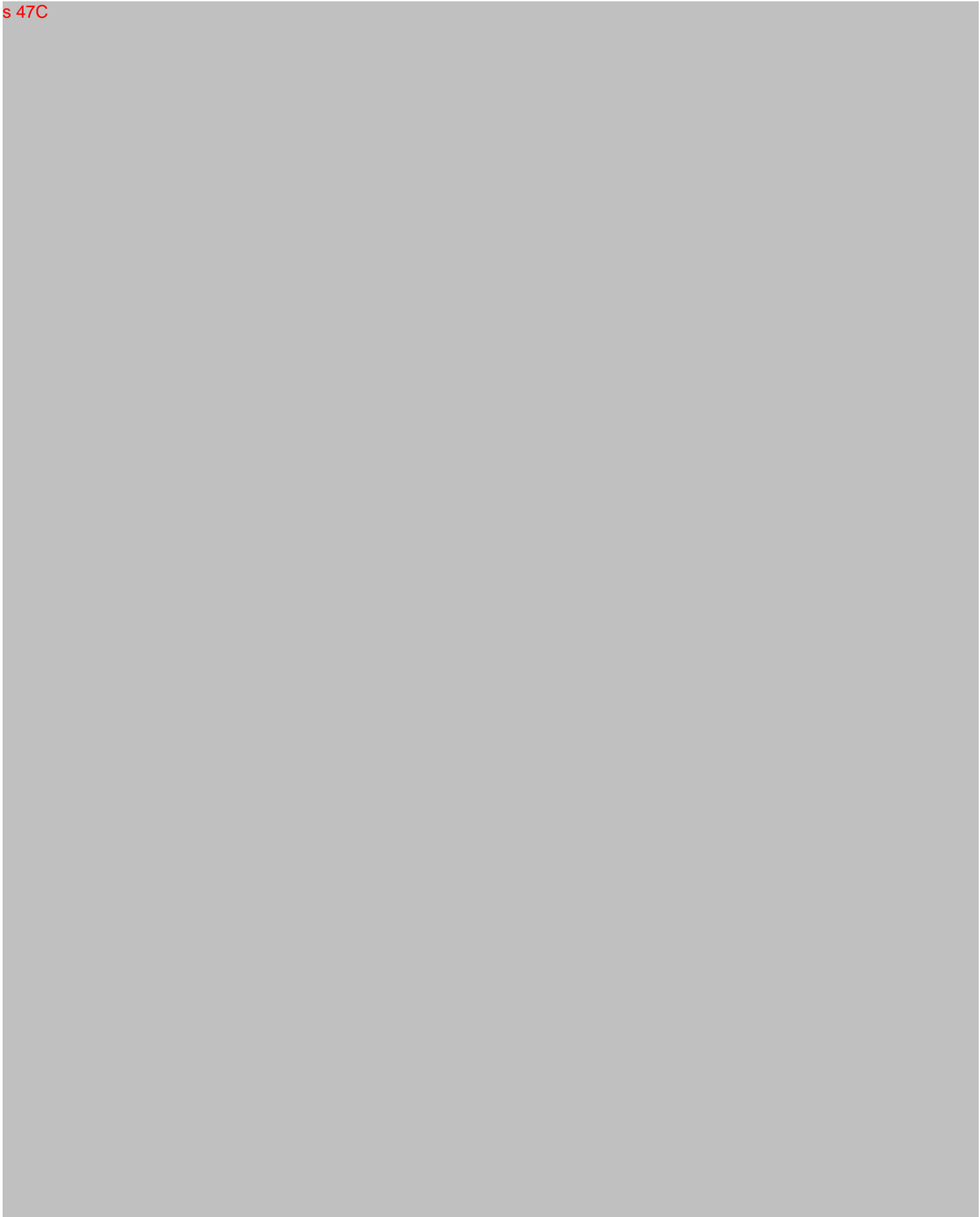
OFFICIAL

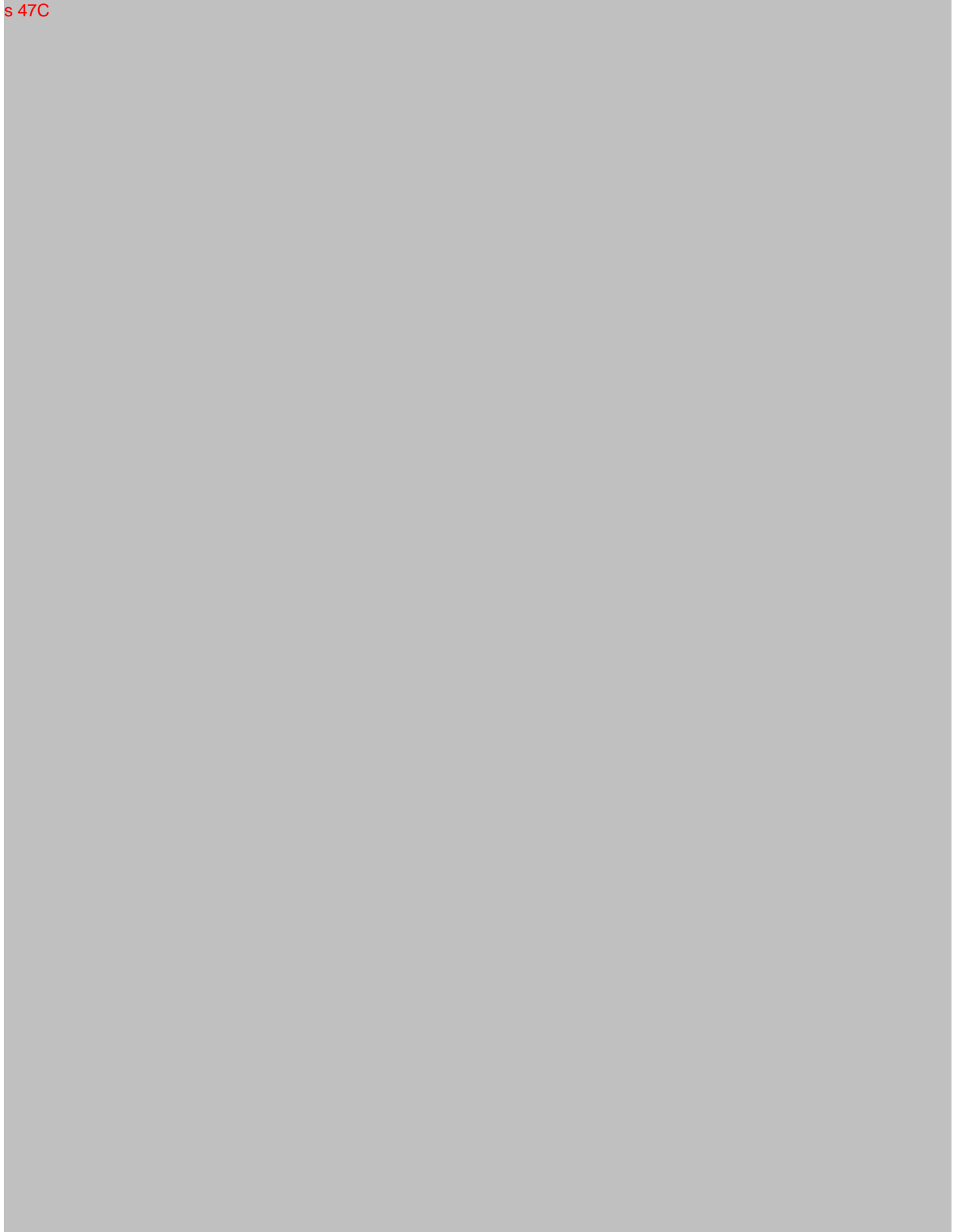
47C

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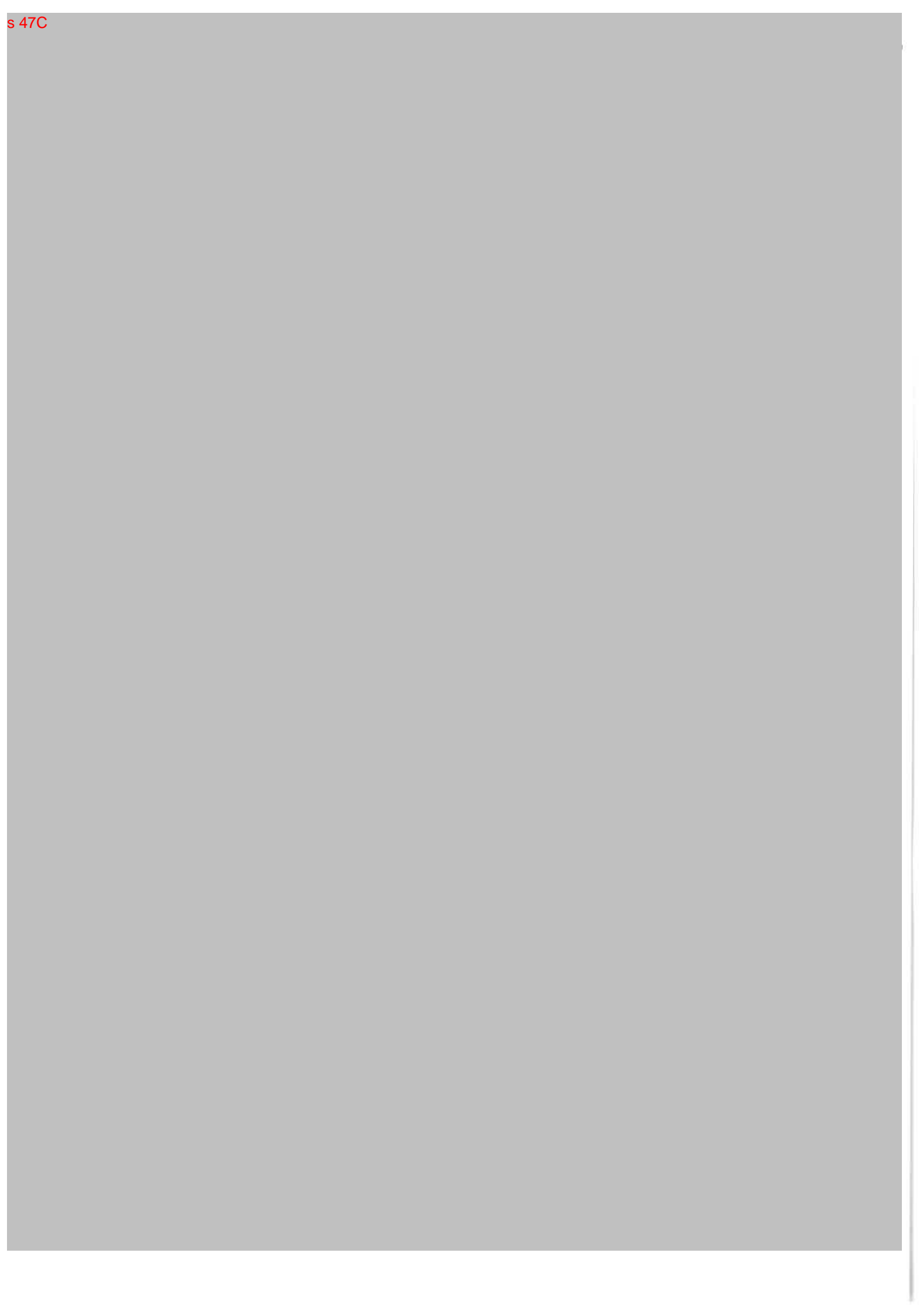


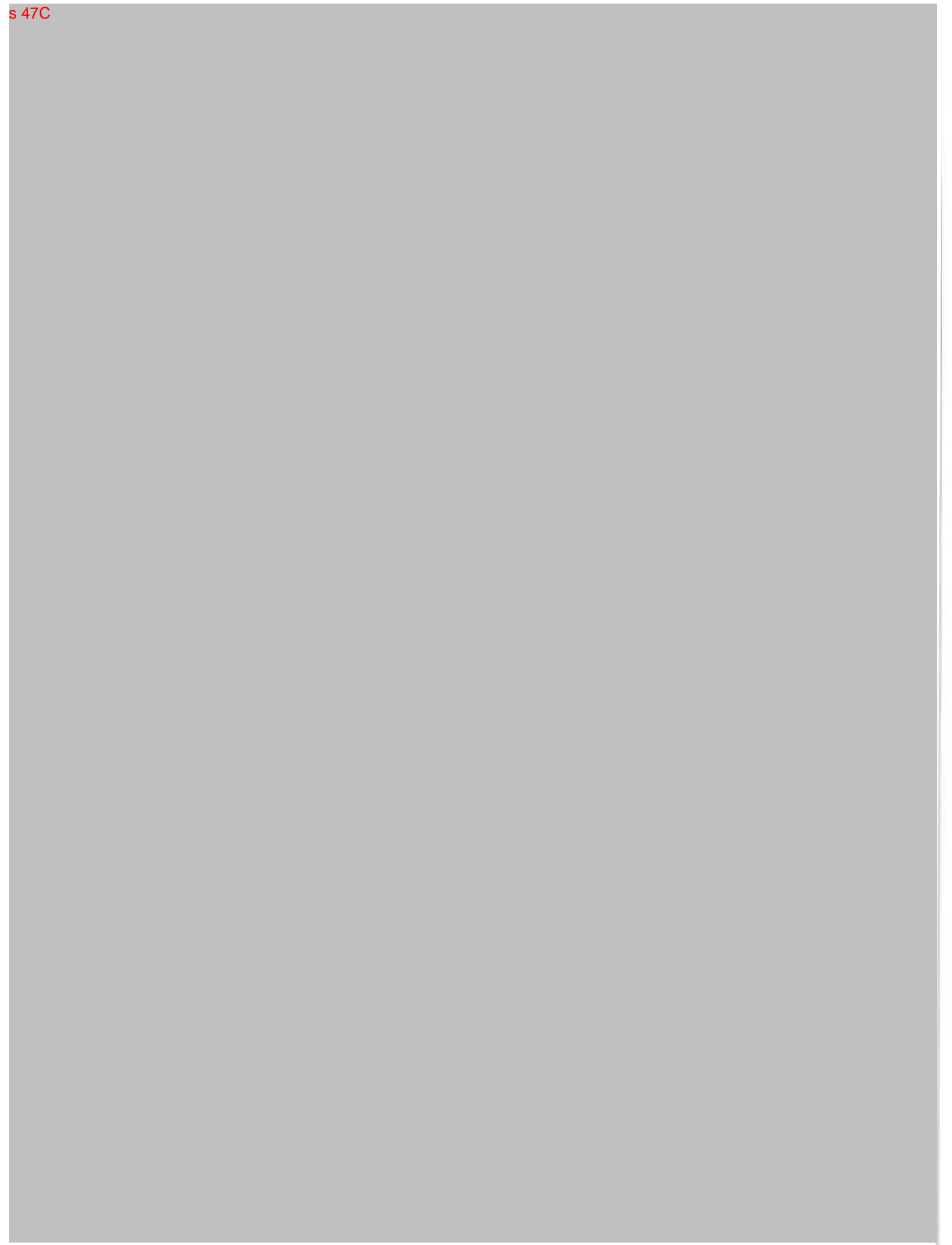


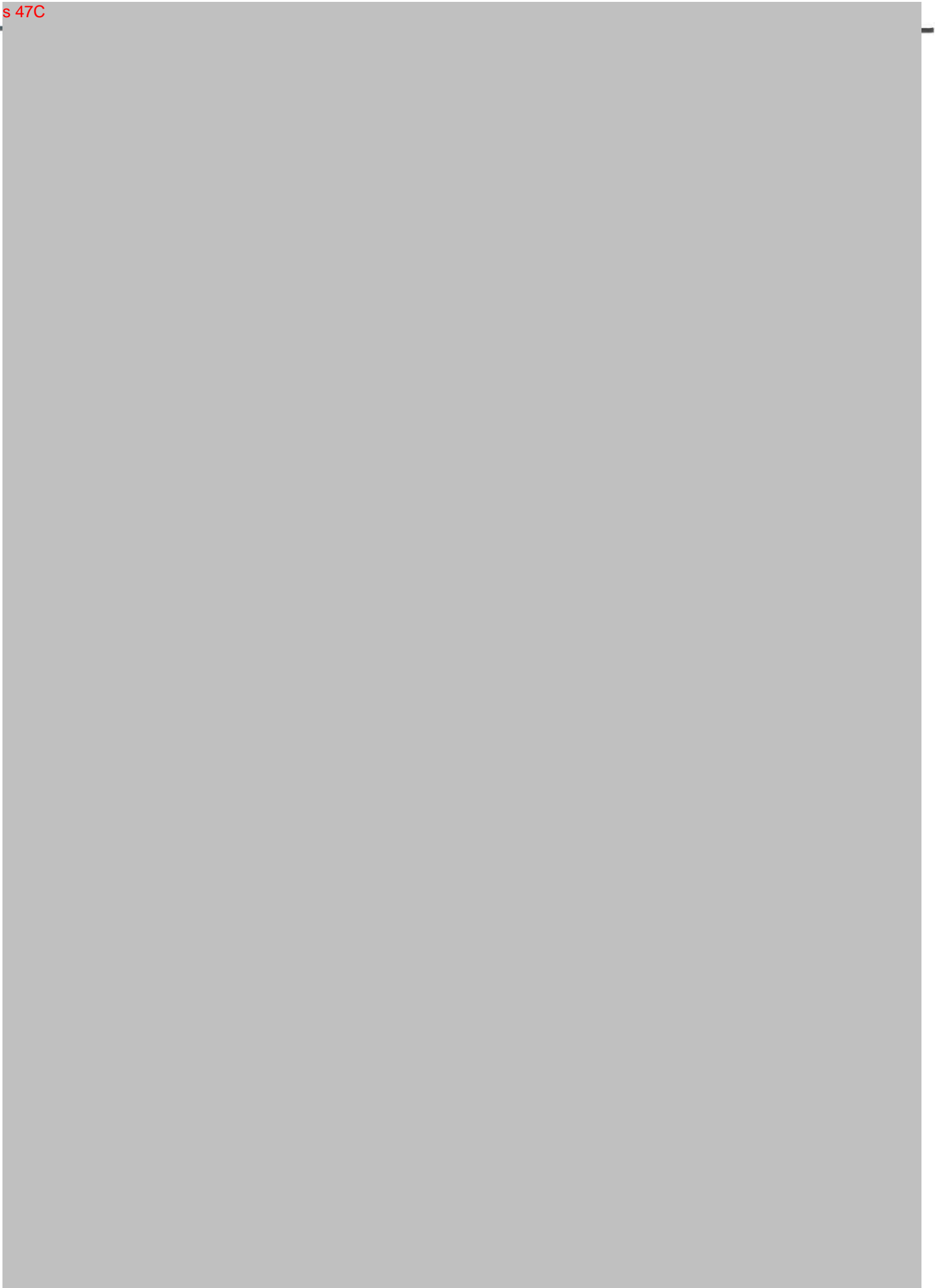


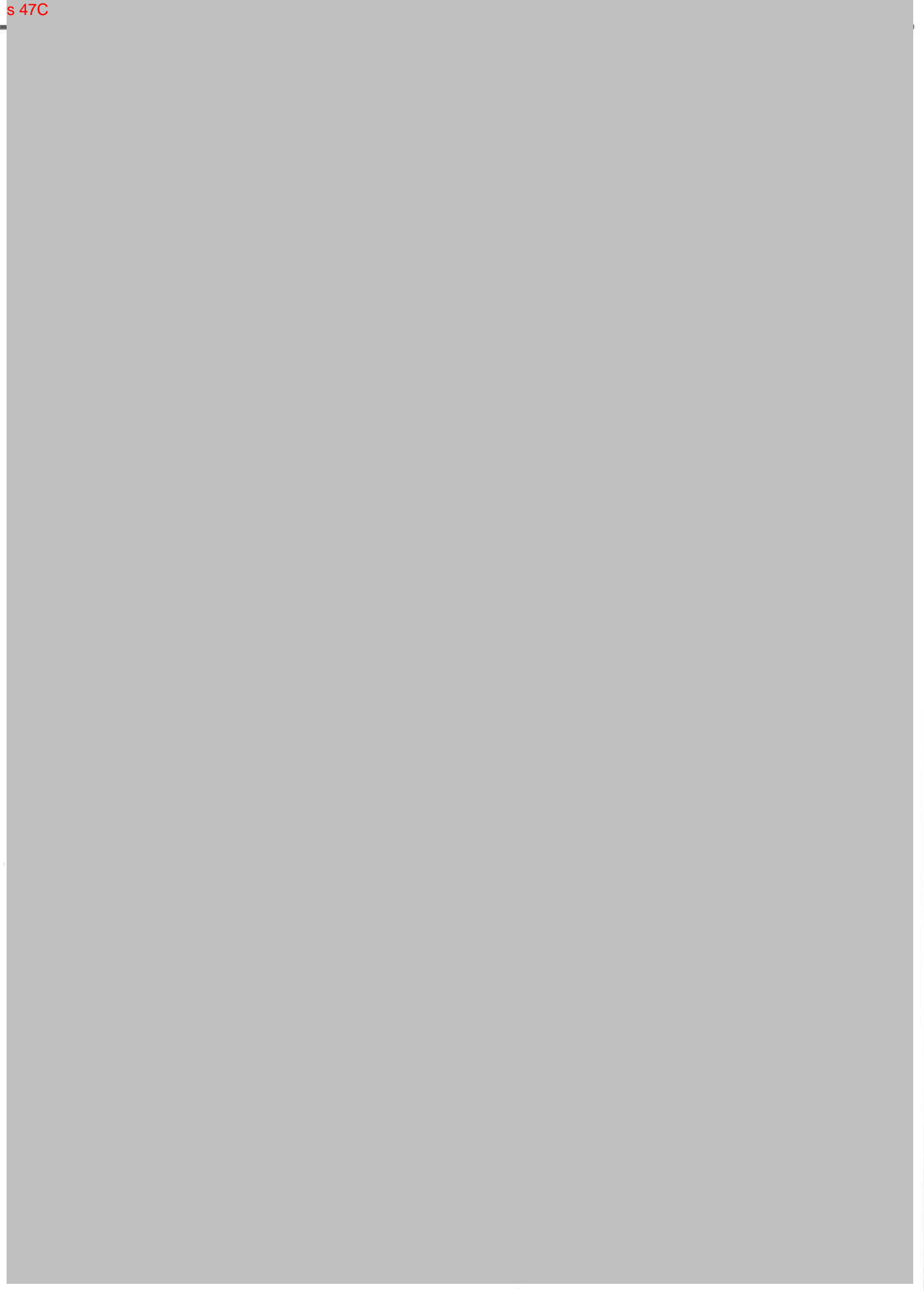
s 47C











Archived: Thursday, 19 August 2021 1:09:19 PM

From: ATKINSON Simon

Sent: Fri, 11 Jun 2021 17:08:23

To: HALLINAN David; Smith Philip; CAELLI Liz; MANSON Hilary; CARUSO Daniel; [REDACTED]

Cc: SEDGWICK Ashley

Subject: FW: Auditor-General Proposed Audit Report on The Administration of Commuter Car Park Projects within the Urban Congestion Fund
[SEC=OFFICIAL:Sensitive] [SEC=OFFICIAL]

Sensitivity: Normal

Attachments:

[Response_ANAO_audit_Administration of Commuter Carparks in UCF.pdf](#)

OFFICIAL

FYI

Thanks,



OFFICIAL

From: ATKINSON Simon <Simon.Atkinson@infrastructure.gov.au>

Sent: Friday, 11 June 2021 5:07 PM

To: 'Office of the Auditor-General - Performance Audit' <OfficeoftheAuditorGeneralPerformanceAudit@anao.gov.au>

Cc: [REDACTED]@anao.gov.au; Brian Boyd <Brian.Boyd@anao.gov.au>; [REDACTED]er@anao.gov.au>

Subject: RE: Auditor-General Proposed Audit Report on The Administration of Commuter Car Park Projects within the Urban Congestion Fund
[SEC=OFFICIAL:Sensitive] [SEC=OFFICIAL]

OFFICIAL

Hi Grant

Please find attached response to the ANAO report on The Administration of Commuter Car Park projects within the Urban Congestion Fund.

Regards,

Simon Atkinson

Secretary

Simon.Atkinson@infrastructure.gov.au

P +61 2 6274 7573

GPO Box 594 Canberra, ACT 2601

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OFFICIAL

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Sent: Monday, 17 May 2021 12:40 PM

To: ATKINSON Simon <Simon.Atkinson@infrastructure.gov.au>

Cc: [REDACTED]@anao.gov.au; Brian Boyd <Brian.Boyd@anao.gov.au>; [REDACTED]@anao.gov.au>

Subject: Auditor-General Proposed Audit Report on The Administration of Commuter Car Park Projects within the Urban Congestion Fund

Importance: High

OFFICIAL: Sensitive

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Yours sincerely



Grant Hehir
Auditor-General for Australia
Australian National Audit Office
Tel: 02 6203 7500

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Classified on: 17/05/2021 10:25:59 AM

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Australian Government

Department of Infrastructure, Transport,
Regional Development and Communications

Secretary
Simon Atkinson

Mr Grant Hehir
Auditor-General for Australia
Australian National Audit Office
OfficeoftheAuditorGeneralPerformanceAudit@anao.gov.au (via email)

Grant

Dear Mr Hehir

Auditor General Proposed Performance Audit Report on The Administration of Commuter Car Park projects within the Urban Congestion Fund

Thank you for providing the Department of Infrastructure, Transport, Regional Development and Communications (the Department) with the opportunity to comment on the Australian National Audit Office's proposed report on our administration of commuter car park projects within the Urban Congestion Fund (UCF).

While the audit has made recommendations that will strengthen the Department's approach to administration of the Urban Congestion Fund, the Department does however disagree with a number of conclusions and findings in the report. In particular the Department does not agree with the ANAO's findings of the Department in relation to the initial design and project selection, as well as the findings in relation to eligibility.

Chapter 2 of the proposed report outlines the initial steps taken by the Department to design a process for selection of projects. The Australian Government, as outlined in the proposed report, then identified and selected all the projects including 27 commuter carpark sites that were selected on the day before the caretaker period commenced and announced during the election. A further 7 car park projects were also announced during the election. These 34 projects are considered to be election commitments by the Government and are treated as such by the Department. Once projects have been announced by Government, the role of the Department is then to implement the projects consistent with relevant legislation.

In Chapter 3, the proposed report focuses on whether the Department put in place a process for engaging with states and territories on the identification of candidate projects. The nature and timing of the project selection as described by the ANAO meant that the Department's role in engagement with stakeholders was limited to the period after the projects were announced, in order to facilitate delivery.

Given the above, the Department disagrees with the finding in the Audit snapshot (and restated in the Conclusion section) that the Department's administration was not effective as this assessment fails to take into account that the projects were election commitments made to the Australian people during an election campaign and that the Department was directed to implement them consistent with delivery of election commitments. The failure to recognise this, and that administration by the Department was based on this rather than another type of program, may leave readers of the report without a full understanding of what happened in this program, particularly with respect to project selection issues.

Further, the Department considers that reference to the zero (0) per cent of commuter carpark projects proposed by the Department needs to include context that the projects are considered by the Australian Government to be election commitments.

I have outlined below further areas where the Department disagrees with the ANAO's findings or where, without appropriate context, readers of the report may be misinformed.

Project identification and selection

The Department does not agree with the audit conclusion that the Department's approach to identification and selection was not appropriate, given the process by Government of project identification and selection outlined in the report. The Department also considers the commentary regarding a lack of advice on the merits of the selection of projects does not reflect the process undertaken by Government. As outlined above, commentary in the report needs to reflect the Audit

Snapshot which highlights no commuter carpark site was proposed by the Department and that the Government considers all of these projects to be election commitments.

Similarly, the Department does not agree with the ANAO finding that the Department did not appropriately engage with state governments and councils as part of the process of project identification. The Department's role is to implement the Australian Government's program of election commitments. Extensive engagement has been undertaken with delivery partners through a variety of mechanisms and processes to progress delivery of the projects (highlighted in Chapter 3 and the basis of analysis in Chapters 4 and 5).

Fund design

The conclusions made in the proposed report regarding the design of the Urban Congestion Fund do not fully consider the interaction with key aspects of the process:

- The process and timing of the decisions by Government to expand the investment in the UCF and to select projects, as part of the 2019 election as outlined above
- The majority of the projects (over 70 per cent by number and 80 per cent by dollar value) under the Urban Congestion Fund are traditional road projects that do not require tailored approaches to existing program management arrangements.

The proposed report finds that the Department did not identify avenues through which UCF projects could be identified. This finding in Chapter 1 is in conflict with the report's analysis in Chapter 3 that the proposed commuter carpark sites were identified and selected by Government.

The Department considers that the mechanisms and governance requirements of the National Land Transport Act and broader IIP framework provide robust governance for the delivery of projects. Of the \$4.0 billion committed to projects under the Urban Congestion Fund as at 31 March 2021, more than 80 percent related to road or planning projects that are core activities administered by the Department as part of the \$110 billion Infrastructure Investment Program. The Department does however agree that implementation plans should be considered for new programs where existing mechanisms are not sufficient.

The Department notes that information on projects within the IIP, including projects under the UCF, is publicly available through the Department's website, the State schedules supporting the National Partnership Agreement for the delivery of infrastructure projects, and on the Australian Parliament House website as project information is tabled through Senate Estimates processes at each hearing since the 2019-20 Budget Estimates hearings.

The Department notes the ANAO's findings in relation to developing specific KPIs and Evaluation Criteria. The Department considers that there are clear KPIs designed around the delivery of projects that apply to projects being delivered including those under the UCF including the commuter car parks (for example travel time savings, safety benefits, transport costs for road freight, jobs supported). The Department regularly reviews KPIs to ensure that reporting mechanisms are appropriate.

Further, there are substantial transparency and accountability measures in place to ensure Parliament is fully informed including, but not limited to:

- financial statements
- budget papers, and
- senate estimates processes.

Eligibility of commuter carpark projects under the Act

The Department disagrees that inadequate assessment attention has been given to the eligibility of projects and with the suggestion that the Department lacked a clear understanding of the operation of the eligibility provisions of the *National Land Transport Act 2014* (NLT Act) in relation to commuter carparks (Chapter 4).

The Department had, from the time commuter carparks were incorporated into the Urban Congestion Fund, a sound understanding, informed by a range of legal advice, of the eligibility of commuter carpark projects under section 10(e) of

the NLT Act. It also appears to take simple clerical errors as evidence of a lack of understanding of legal eligibility, notwithstanding the fact that extensive legal advice was sought.

Similarly, the report's discussion regarding carparks being attached to rail stations questions the timing and content of legal advice without regard to the Department's understanding of the major issues at the time. Legal advice sought in February 2021 brought together advice previously sought in relation to intermodal facilities to comprehensively address related issues, is consistent with previous advice held by the Department.

Project delivery

The Department considers that there are well established mechanisms for management of projects under the Infrastructure Investment Program as set out in the governance requirements of the NLT Act. As part of the Department's administration of the Infrastructure Investment Program the Department has the administrative systems, templates and processes in place to support implementation of the UCF.

In its advice to Ministers to support decision making the Department includes a value for money assessment. While the Department does not agree with the premise of the report that the assessments are not adequate, it supports the ANAO principle of strengthening the advice including through the provision of information on benchmarking costs to industry standards. Further, the Department agrees with the overarching conclusion that efforts to standardise practices in our administration of infrastructure investment are warranted.

The Department however considers the benchmarking is most relevant at the delivery stage (once feasibility studies have been completed and costs identified) to enable costs and number of spaces to be accurately reflected.

The report recognises the work being undertaken within the Department to develop cost benchmarking, benefits measurement and supporting guidance for staff in the development of value of money assessments. This work is ongoing and continues to develop, informing advice to portfolio Ministers as projects progress through the approval process to the commencement of construction.

The Department notes however, that benchmarking is only one aspect of any value for money assessment and is general in nature as industry standards fail to take into account site specific cost drivers such as costs associated with land acquisition, utility adjustments or additional works such as deflection walls which are required by law for multi-storey carparks adjacent to rail lines as a safety barrier in the case of derailments. Higher costs can also be driven by the complexity of an individual project site, such as costs associated with decommissioning and demolition of existing at-grade car parks or other facilities on site, including existing structures, utilities and water infrastructure.

The report recognised the development by the Department of a benefits cost estimation tool for commuter car parks, which has now been independently assessed and is consistent with the Australian Transport and Assessment Guidelines. The benefits cost estimation tool overall demonstrates the significant benefits to be captured through transitioning commuters to modes of public transport.

Recommendations

Please accept this letter as the Department's summary response to the proposed report. The Department acknowledges the ANAO's recommendations and a response to each of the recommendations is attached. I respectfully request that the response be published in full.

Please contact Mr Philip Smith, First Assistant Secretary, Infrastructure Investment Division if you would like to discuss this response.

Yours Sincerely



Simon Atkinson

// June 2021

Department of Infrastructure, Transport, Regional Development and Communications
response to the Proposed Audit Report on the
the Administration of Commuter Car Park Projects within the Urban Congestion Fund

Recommendation 1

When establishing funding programs for inclusion in the Infrastructure Investment Program, the Department of Infrastructure, Transport, Regional Development and Communications develop an implementation plan, performance indicators and an evaluation strategy specific to the funding program.

Response

Agreed. The Department will consider the elements of the new program streams and develop an implementation plan, performance indicators and/or evaluation strategy specific to the new program where existing tools and practices are not appropriate.

Recommendation 2

The Department's improvements to Infrastructure Investment Program record keeping include ensuring good quality business information that is fit for purpose is created, which means that it:

- Contains sufficient detail to meet current business needs and can be understood by others in the future;
- Is accurate; and
- Is created in a format that enables efficient business processes and maximizes its potential for use and reuse.

Response

Agreed. The Department is already undertaking action including the following actions within the Infrastructure Investment Division:

- reminders to all staff within the Infrastructure Investment Division have issued with a direction to adhere to requirements in relation to recordkeeping;
- provision of recordkeeping training sessions specifically for IID staff, with the intent that every officer will be required to undertake training. Specific training sessions held for the Division to ensure staff had the requisite skills to comply with their obligations was delivered to 132 staff.
- Anyone that did not attend a training session held specifically for the Division, including new starters, are required to enrol and complete a training session through the Department's LearnHub. Attendance is also included as part of the Induction Checklist which is monitored by the Business Management Unit.
- incorporated adherence to recordkeeping policies in performance discussions for SES officers
- the Business Management Unit prepares monthly "heat maps" that indicate utilisation of the Department's EDRMS
- monitoring of use of areas of G drive that have not been closed to new records to ensure compliance with use of the EDRMS.

At a Departmental level, actions undertaken include:

- reviewing the existing Record Keeping policies and drafting a single policy document which is currently under review before being endorsed and published;
- increasing delivery of department-wide training to ensure staff understand their obligations;
- initiating a project to upgrade and consolidate the Department's record management systems; and
- updating staff guidance on the Department's intranet relating to records management practices.

Recommendation 3

The Department document and apply assessment procedures that require it to undertake sufficient inquiries to demonstrate that candidates for funding under the *National Land Transport Act 2014* are eligible for approval before it makes a funding recommendation to the Minister.

Response

Agreed. The Department has established processes in place to assess project eligibility under the Act or to provide policy and/or legal advice in more complex scenarios. The Department had a sound understanding, informed by legal advice, of the eligibility of commuter carpark projects under the *National Land Transport Act 2014*. The Department is improving its documentation of guidance materials to support effective and appropriate management of the Urban Congestion Fund within the broader Infrastructure Investment Program.

Recommendation 4

In designing programs for the delivery of funding through the *National Land Transport Act 2014*, the Department propose for Ministerial consideration merit criteria that will be used to assess whether projects represent an efficient, effective, economical and ethical use of public money.

Response

Agreed. The Department considers the mechanisms and governance requirements of the NLT Act and existing IIP processes are sufficient for program management in many circumstances and particularly apply to projects under the UCF.

Noting the process undertaken by Government to select commuter car park projects, the Department agrees that it can strengthen guidance material to staff to assess the value for money considerations and criteria outlined in the NLT Act and PGPA Act.

When establishing new funding programs for inclusion in the Infrastructure Investment Program, the Department will consider the elements of the new program streams and, where existing tools and practices are not appropriate, develop program-specific plans and performance measures, including merit criteria.

Recommendation 5

When providing advice on whether funding should be approved for funding candidates under the *National Land Transport Act 2014* that have been identified through a non-competitive process, the Department identify relevant benchmarks against which to assess whether the proposal represents value for money and is appropriate for approval.

Response

Agreed. The Department agrees with the principle that advice to the Minister should provide enough detail to ensure that the Minister can meet obligations under the PGPA Act. This may include, where relevant, appropriate benchmarking information as well as other quantitative or qualitative information. The Department briefing on projects includes an assessment of the effective, ethical, efficient and economical merits as required under the PGPA Act. Work is currently being undertaken to strengthen advice provided to Ministers on projects as they enter into the delivery stages. In relation to commuter carpark projects, the Department has used industry data to develop benchmark construction costs to help guide staff in the assessment of commuter car park proposals. Industry benchmarks for carpark projects do not consider many of the site-specific and environmental factors that contribute to the complexity of the project and overall cost but do help to identify these issues to support the Minister in making a decision.

Other calculations, such as a benefit cost ratio calculation may be more relevant as a guide for Ministers, particularly in circumstances where site specific cost factors may mean a benchmark comparison is not helpful.

The Department already has a process in place for cost assurance for road projects, through cost estimate reviews and cost benefit analysis. This function is being further developed in order to provide additional guidance and support for staff in the assessment of significant projects.

Recommendation 6

The Department strengthen its controls over the establishment of delivery and payment milestones, including by setting out in the Ministerial approval briefing the Department's proposed milestones or the parameters for negotiating those milestones.

Response

Agreed. The Department agrees that including advice to Ministers on milestones will provide additional assurance to Government regarding administration of the program. The Department is developing additional guidance in relation to developing and setting milestones, noting milestones are only one governance mechanism, others include regular meetings with delivery partners and regular reporting.